# Analysis of Risk Factors and Harmonics Incidence in a Distribution Grid based on the Epidemiological Methodology

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*Abstract*—A methodology based on epidemiological analysis for assessing risk factors and harmonic distortion incidence rate in a distribution network is proposed in this paper. The methodology analyzes the current harmonics emission risk at the PCC due to the connection of disturbing loads. These loads are modeled and multiple loads connection scenarios are simulated using Monte Carlo Algorithms. From the simulation results, potential risk factors for critical harmonics indicators are identified, leading to a classification of the scenarios into groups of exposed or unexposed to risk factors. Finally, the incidence rate of harmonics is calculated for each load connection scenario and the risk of critical harmonics scenarios due to the exposure to risk factors is estimated.

*Index Terms*—Power Quality (PQ), harmonic distortion, risk factors, relative risk (RR).

## I. INTRODUCTION

Currently distribution systems are gradually moving to smart grids. Measurement systems and methodologies for power quality disturbances assessment are integrated to the smart grids. Additionally, current power quality conditions can become critical due to the connection of new electronic technologies like CFL lamps, electric vehicles and distributed generation, among others.

In Colombia the assessment of power quality has been integrated to the design of smart grids through a research project called SILICE III (Smart Cities. Design of a smart microgrid). One of the aims of this project is to develop methodologies for evaluating potential emissions of harmonics due to the connection of new loads in a distribution system.

Several studies for evaluating harmonics have been proposed.

In [1] an assessment of harmonics at the point of common coupling using the principle of filtering was proposed, determining the customer dominant current harmonics. The use of indices for assessing continuous and discrete disturbances is proposed in [2], with the aim of identifying power quality problems in a 50 customers real system ($20kV/400V$). In [3] power quality indices throughout the system are analyzed. A measurement system consisting of a

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power quality disturbances monitoring system, a displaying platform and diagnostic methodologies for the recorded information were proposed in this paper. Finally, in [4] a monitoring system with 250 computers in a Brazilian company is implemented. The system records the power quality disturbances and performs statistical analysis, estimating indicators and disturbances trends.

In contrast to the previously cited studies, this paper conducts the harmonic evaluation based on epidemiological theory. This novel approach allows to evaluate the risk of future critical harmonics scenarios due to the connection of new electronic loads and determines their impact on system's power quality conditions using epidemiological techniques. Specifically, the occurrence of a disturbance and its spread in the network is considered similar with the occurrence and spread of a human virus, spam, news, etc. Thus epidemiology techniques can be a useful tool to assess power quality conditions by the estimation of risk indices to assess possible future harmonics conditions based on current conditions.

The paper is organized as follows. First, the problem of power quality is formulated from the epidemiological perspective. Then, a characterization and modeling of disturbing loads are performed through EMTP-ATP and Matlab software. Subsequently, several scenarios of loads connection are proposed and stochastic simulations are performed in a real system. The relationship among risk factors and incidence of harmonics in the simulated scenarios is estimated through risk indices. Finally, the risk of critical harmonics scenarios is calculated. In order to present the interpretation and usefulness of the attained results, a discussion is presented.

## II. EPIDEMIOLOGICAL ASSESSMENT OF POWER QUALITY

Epidemiological analysis has been used in several fields mostly related to biology, microbiology and medical sciences [5], [6], [7]. However, there are several examples of its application in engineering which are related to characterize the epidemic spread of viruses on networks Internet, wifi, cell or local networks [8], [9], [10], [11].

Conventional epidemiological methodologies try to answer the following questions in order to assess the health of a population:

- Who is at risk of getting sick or infected?
- What is the rate of recovered and deceased patients?
- What factors affect the rate of infected population?

Similar to an epidemiological problem, a power quality problem tries to solve the following questions:

- What are the power quality conditions of the customers? (health conditions)
- What is the number of customers who are affected or will by affected by power quality disturbances above a threshold or reference? (rate of infected population)
- Which factors influence the occurrence of disturbances in these customers? (risk factors)

In order to solve these questions, epidemiological methodologies can be extended to power quality problems. Epidemiological assessment consists of a three-step process, as shown in Figure 1.
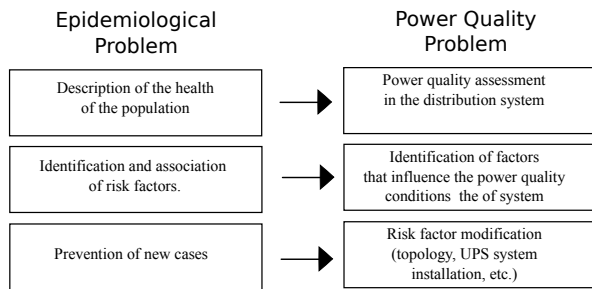


Figure 1.   Epidemiology and Power Quality problems Analogy

A detailed explanation of each stage is given in the following sections.

### A. Description of population health

The epidemiology observes the phenomena related to diseases causing death in certain populations. These epidemiological phenomena are measured by means of two different and complementary ways: the prevalence ratio and the incidence ratio. The prevalence ratio *(PR)* describes the amount existing cases of disease at a particular point in time. The incidence ratio *(IR)* studies the number of new cases of disease within a specific period of time. According to reference [12], *(PR)* and *(IR)* are calculated as follows:

$$PR_{mid-year} = \frac{people\ with\ disease\ at\ mid-year}{Mid-year\ population} \quad (1)$$

$$IR_{year} = \frac{new\ cases\ of\ disease\ in\ the\ year}{Mid-year\ population} \quad (2)$$

In the equations (1) and (2) period of time of mid-year for prevalence ratio and year for incidence ratio are selected depending on the disease under study. A year is a common

period of time on several epidemiological studies [12]. Unlike epidemiology, in the case of power quality population remains constant and cause-effect temporality is instantaneous. Therefore, the period of time to assess the risk of critical disturbances is not considered on a power quality context and prevalence ratio is equal to incidence ratio. To assess critical power quality disturbances the incidence ratio *(IR)* on equation (3) is proposed.

$$IR = \frac{new\ users\ with\ critical\ disturbances}{total\ users} \quad (3)$$

In this paper users with critical disturbance are defined as users with TDD levels above a reference value. The reference is taken from IEEE according to maximum demand load current and maximum short circuit current at PCC.

### B. Risk/protective factors association

In this step epidemiology analyses the relationship between critical levels of disturbances and Risk/Protective factors. Risk factors contribute to the occurrence of the disturbance. Therefore, the greater the exposure of customers to risk factors, the higher the incidence of disturbance is. On the other hand, protective factors contribute to reduce the occurrence of the disturbance, then, the greater the exposure of customers to protective factors, the lower the incidence of the disturbance is.

Risk/protective factors could be identified as follows:

1) Formulate a possible factor that could affect the incidence of the disturbance.
2) Establish two groups of customers characterized by their exposure (exposed and non-exposed) to a possible risk/prevention factor.
3) Measure the incidence of the exposed and non-exposed groups.
4) Measure the association based on the values indicating the relationship between the presence of the factor and the occurrence of the disturbance.

The measurement of the association is the difference of the disease's frequency between the exposed and the non-exposed group. This measurement is achieved through the following risk indicators:

- *Relative risk (RR).*

$$RR = \frac{IR}{IR_1} \quad (4)$$

- *Attributable risk (AR).*

$$AR = IR - IR_1 \quad (5)$$

- *Proportion of Exposed attributable risk (PEAR).*

$$PEAR = \frac{IR - IR_1}{IR} \quad (6)$$

Where:

$IR$ is the incidence ratio on exposed users, and
$IR_1$ is the incidence ratio on unexposed users.

The analysis of above risk indicators shows the relationship between risk factors and incidence ratio of the disturbance. The strength of the identified relationship depends on the values of those indicators.

The particular case of $IR_1 = 0$ means that there is no other risk factors that could cause critical disturbances in the user. Therefore the possibility of a critical scenarios occurrence is attributed entirely to the risk factor under study. However, several risk factors (Short circuit level, disturbing neighbor loads, etc ) could affect power quality conditions in real scenarios, thus $IR_1 = 0$ is unlikely.

The interpretation of each indicator on equations (4), (5) and (6) in the context of power quality will be described in more detail in the next sections.

### C. Prevention of new cases

After identifying the risk factors that directly or indirectly affect the incidence of a disturbance, the epidemiological methodology assesses the corresponding actions to reduce the exposure to these factors.

### III. DEFINITION OF RISK FACTORS, ENVIRONMENT AND POPULATION

In the case of a power quality problem, in this paper the risk of getting critical harmonics level is analyzed at the PCC of the system shown in Figure 2.
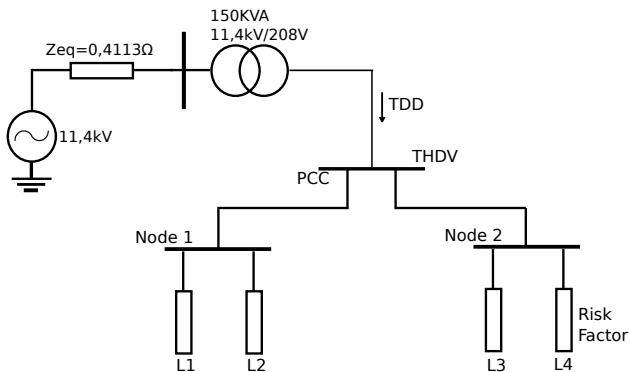


Figure 2.   Risk factor on the distribution system

The circuit in Figure 2 is a real 11,4kV/208V feeder at the National University of Colombia. In order to make a precise feeder modeling, the following parameters were considered:

- Network Equivalent. A network equivalent represents the feeder voltage variations characteristics and the impact of power quality disturbances at the upstream grid. In order to model the distribution network equivalent, the short circuit impedance $0,4113\Omega$ were obtained from the network operator.

- Distribution Lines. The model considers the cable type (overhead or underground), the line caliber and physical characteristics proper of each facilities.
- Transformers. A model was developed, representing all the parameters of the transformer by using the available manufacturer data.
- Loads. Linear and non-linear loads are modeled.

The total demand distortion index TDD in the equation (7) is used to assess the impact of risk factor (L4) on harmonic distortion at the PCC [13].

$$TDD = \frac{\sqrt{\sum_{h=2}^{40} I_h^2}}{I_L} * 100\% \qquad (7)$$

Where:

$I_h$= Harmonic component of I of the order indicated by the subscript.
$I_L$ = Maximum demand load current (fundamental frequency component) at PCC.

The current distortion limit of TDD is used as a reference to determine which scenarios of harmonics distortion are consider as critical. The Table I shows the limits values for current distortion for general distribution systems according to IEEE 519 [14].

Table I
CURRENT DISTORTION LIMITS FOR GENERAL DISTRIBUTION SYSTEMS
(120V THROUGH 69kV)

| $I_{sc}/I_L$ | Individual Harmonic Order (odd harmonics) | | | | | TDD |
|---|---|---|---|---|---|---|
| | $h<11$ | $11<h<17$ | $17<h<23$ | $23<h<35$ | $35<h$ | |
| $< 20$ | 4.0 | 2.0 | 1.5 | 0.6 | 0.3 | 5.0 |
| $20 < 50$ | 7.0 | 3.5 | 2.5 | 1.0 | 0.5 | 8.0 |
| $50 < 100$ | 10.0 | 4.5 | 4.0 | 1.5 | 0.7 | 12.0 |
| $100 < 1000$ | 12.0 | 5.5 | 5.0 | 2.0 | 1.0 | 15.0 |
| $> 1000$ | 15.0 | 7.0 | 6.0 | 2.5 | 1.4 | 20.0 |

Where:

$I_{sc}$= Maximum short circuit current at PCC.
$I_L$ = Maximum demand load current (fundamental frequency component) at PCC.

In the case of the circuit in Figure 2, the relationship between ($I_{sc}$) and ($I_L$) is 192,3. According to the table I the reference value to assess TDD indices at the PCC is TDD=15.

In this case, a critical harmonics level is defined as the value of total demand distortion TDD measured at the PCC over the reference level. When the point of the system has waveform distortion levels above the reference, it is consider as a critical point.

### A. Risk factors hypothesis

Several factors could affect the harmonics incidence in a point of the network. Some of these factors are:

- Short circuit level. Although short circuit level is not a direct cause of harmonics disturbances in a network point,

this parameter could favour the presence of harmonics due to other causes.

- Linear and non-linear loads on customers. Connection of several types of loads can cause high harmonics levels at the PCC.
- Harmonics disturbances from other circuits. Loads connected to other nearby circuits could affect the harmonics level in the current feeder.
- Capacitor banks used for voltage control and power factor improvement. The connection of capacitors can cause resonance conditions that can affect harmonics levels.

In this paper the connection of non-linear loads on the user L4 is analyzed as a risk factor for the harmonics incidence at the PCC.

### B. Environment and population

In order to analyze the relationship between the risk factor and the current harmonics incidence at the PCC, individuals belonging to a population are defined based on the circuit in Figure 2. L1, L2 and L3 represent linear and non-linear loads that users can connect in nodes 1 and 2. L4 is a non-linear load and represents the risk factor of interest. Linear loads are modeled by equivalents RL with RMS currents between 0A and 50A. Non-linear loads are modeled like full wave rectifiers with capacitive filter and a variable resistance with RMS currents between 0A and 50A.

Every individual of the population is represented by every possible load connection scenario in the circuit. In order to generate the study population and risk factors levels, several connection scenarios are proposed as follows:

1) *Generating study population.* 169 different load scenarios of L1, L2 and L3 are generated through current variations of each load between $0A$ and $50A$. An example of loads combination to obtain the load scenarios is shown in Table II.

Table II
POPULATION. LOAD CONNECTION SCENARIOS

| Scenario | $I_{L1}(A)$ | $I_{L2}(A)$ | $I_{L3}(A)$ |
|----------|-------------|-------------|-------------|
| 1 | 0.00 | 50.00 | 0.00 |
| 2 | 0.00 | 47.58 | 0.58 |
| 3 | 0.00 | 43.50 | 6.13 |
| ⋮ | ⋮ | ⋮ | ⋮ |

2) *Generating risk factor levels.* 12 levels of the risk factor L4 are generated by means current variation of the load between $0A$ and $50A$. An example of risk factor levels is shown in Table III.

Table III
RISK FACTOR LEVELS

| Risk factor level | $I_{L4}(A)$ |
|-------------------|-------------|
| 1 | 0.00 |
| 2 | 0.58 |
| 3 | 6.13 |
| ⋮ | ⋮ |

3) *Stochastic simulation.* Load scenarios are generated by combining L1, L2, L3 and risk factor L4 vectors, load combinations are included to observe the cumulative effect of loads on the harmonics level. Finally 2028 cases were obtained by simulating 169 scenarios for each 12 risk factor levels

Harmonics levels at PCC in all scenarios are obtained by simulations in time domain. The results are classified and analyzed in the following section in order to determine the association between risk factors and the level of harmonics.

In the following sections the epidemiological methodology is used to assess several scenarios of harmonics' levels in the distribution network.

### IV. ASSESSMENT OF ASSOCIATION LEVELS DUE TO HARMONIC DISTURBANCES IN DISTRIBUTION NETWORKS

In this section the above explained epidemiological analysis is applied to previous simulations. First an evaluation of the power quality status of the entire study population (load connection scenarios) is performed. Subsequently a reference TDD value is proposed to evaluate the population in terms of "sick" and "healthy". Finally the population is classified into exposed and unexposed to the risk factor and risk indicators are calculated.

### A. Description of the epidemiological power quality status

The study population consists on 169 different load scenarios or individuals. Every individual is exposed to 12 different levels of the risk factor. Therefore, the total population containing 2028 individuals or load scenarios.

Every load scenario is simulated on ATP-EMTP computing current and voltage signals. These signals are processed to calculate the electrical variables and the harmonic levels at the PCC.

Table IV shows the statistical indicators of voltage, current and harmonic distortion observed at the PCC for the 2028 load connection scenarios.

Table IV
POWER QUALITY CONDITIONS AT PCC

|  | $V_{rms}(V)$ | $I_{rms}(A)$ | $THDv(\%)$ | $TDD(\%)$ |
|--|--------------|--------------|------------|-----------|
| Average | 119,96 | 54,28 | 0,27 | 16,82 |
| Percentile 75 | 119,97 | 71,29 | 0,33 | 22,36 |
| Percentile 90 | 119,98 | 89,68 | 0,48 | 30,45 |
| Percentile 95 | 119,99 | 97,81 | 0,52 | 32,43 |

From the Table IV the average voltage distortion THDv is about 0.27%, which is very low according to the reference value in IEEE 519 standard (5%).

On the other hand, the average total demand distortion TDD is 16%. According to a Table I these values exceed the TDD limits (15%). Therefore the possibility of critical harmonic levels due to the loads connection could be very high. In the

next paragraph, the epidemiological methodology is applied to estimate the impact of connecting L4 load on the TDD level observed at the PCC.

### B. Risk factor identification and association

According to Figure 3, individuals of the population are split into two groups, exposed and unexposed to the risk factor. Unexposed individual are the load scenarios where risk factor L4 is not connected. On the other hand, exposed individuals are load scenarios where L4 is connected. Subsequently, a reference value of current distortion TDD=15% is defined and individuals with critical conditions of current distortion are identified in exposed and unexposed groups.
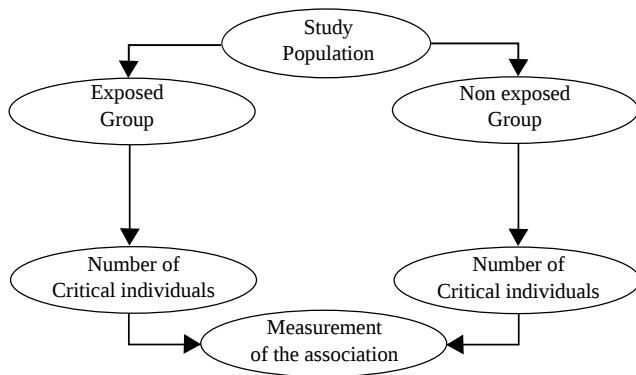


Figure 3.   Risk factor identification process

Furthermore, as L4 could have different load current conditions, the risk factor can have several levels of exposure. In order to verify the individual impact of each of these 12 exposure levels in the occurrence of critical harmonic distortion, every level is considered as an exposed group and the incidence is calculated for each of them. Table V shows incidence values for 12 different exposure levels of the risk factor. The (1*) level means the particular unexposed case.

Table V
INCIDENCE RATIO OF EXPOSED AND UNEXPOSED GROUPS

| Exposure level | Exposed individuals | Critical individuals | Incidence ratio $IR$ |
|---|---|---|---|
| 1* | 169 | 45 | 0,266 |
| 2 | 169 | 43 | 0,254 |
| 3 | 169 | 26 | 0,153 |
| 4 | 169 | 44 | 0,260 |
| 5 | 169 | 104 | 0,615 |
| 6 | 169 | 104 | 0,615 |
| 7 | 169 | 115 | 0,680 |
| 8 | 169 | 117 | 0,692 |
| 9 | 169 | 169 | 1,000 |
| 10 | 169 | 169 | 1,000 |
| 11 | 169 | 169 | 1,000 |
| 12 | 169 | 169 | 1,000 |

According to table V, the unexposed group (1*) has 169 individuals, from which 45 have TDD values above the threshold (critical individuals). Therefore, the incidence ratio of high harmonics in the population according to equation (2) is:

$$IR_1 = \frac{45\ Critical\ individuals}{169\ Total\ individuals} = 0,266 \qquad (8)$$

In the case of exposure level 8, the incidence ratio of critical distortion is calculated as follow:

$$IR_8 = \frac{117\ Critical\ individuals}{169\ Total\ individuals} = 0,692 \qquad (9)$$

The figure 4 shows the average and 95 percentile values of TDD(%) for each exposure level.
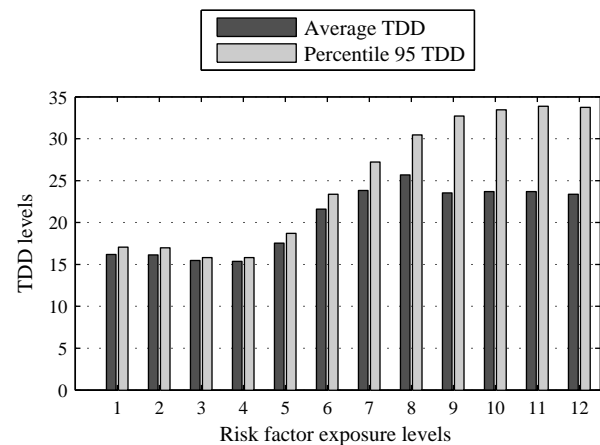


Figure 4.   TDD values for risk factor exposure levels

From the above Figure, level 1 (unexposed) has a TDD average value around 16.18%. This current distortion is caused by the presence of others nonlinear loads different than L4 (risk factor). For exposure levels between 2 and 4, the TDD average decreases from 16.14% to 15.35%. For exposure levels between 4 and 12, the TDD average increases from 15.35% to 23.36%.

In order to analyze the association between levels of exposure to the risk factor and the presence of TDD critical scenarios, attributable risk (AR), relative risk (RR) and proportion of exposed attributable risk (PEAR) are calculated from equations (5), (4) y (6). The result for each exposure level is shown in Table VI.

Figure 5 shows the incidence of critical TDD scenarios ($IR$) and attributable ($AR$) risk calculated according to equation 5. The attributable risk is the portion of incidence that is due to exposure to the risk factor. The interpretation of the attributable risk is as follows:

*In the case of a risk factor level equal to 5 the incidence ratio of critical TDD scenarios is 0.615 and the attributable risk is 0.349. It means that 0.349 of 0.615 is due to the risk factor under study.*

Table VI
RISK INDICES OF EXPOSED AND UNEXPOSED GROUPS

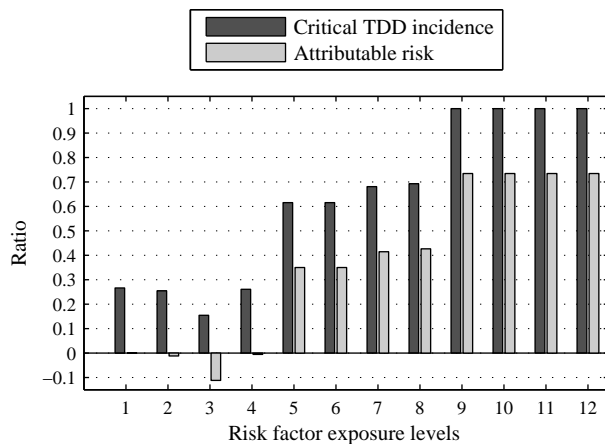| Exposure level | Incidence ratio $IR$ | Attributable risk $AR$ | Relative risk $RR$ | Exposed Attributable risk $PEAR$ |
|---|---|---|---|---|
| 1* | 0,266 | 0,000 | 1,000 | 0,000 |
| 2 | 0,254 | -0,012 | 0,956 | -0,047 |
| 3 | 0,153 | -0,112 | 0,578 | -0,731 |
| 4 | 0,260 | -0,006 | 0,978 | -0,023 |
| 5 | 0,615 | 0,349 | 2,311 | 0,567 |
| 6 | 0,615 | 0,349 | 2,311 | 0,567 |
| 7 | 0,680 | 0,414 | 2,556 | 0,609 |
| 8 | 0,692 | 0,426 | 2,600 | 0,615 |
| 9 | 1,000 | 0,734 | 3,756 | 0,734 |
| 10 | 1,000 | 0,734 | 3,756 | 0,734 |
| 11 | 1,000 | 0,734 | 3,756 | 0,734 |
| 12 | 1,000 | 0,734 | 3,756 | 0,734 |



Figure 5.   Incidence ratio and Attributable risk vs exposure levels

In Figure 6, the relative risk measures how big is the impact of the risk factor as compared with the unexposed scenario to that factor. Figure 6 shows the relative risk for each exposure levels.
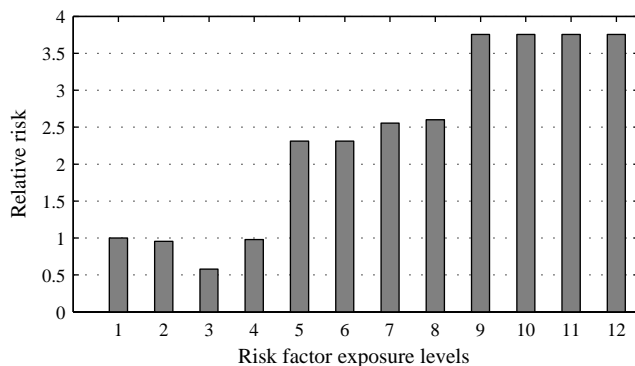


Figure 6.   Relative risk vs exposure levels

The interpretation of the relative risk is as follows:

*In the case of a risk factor level equal to 5, the risk of critical TDD scenarios increases 2.311*

*times as compared to the unexposed scenario.*



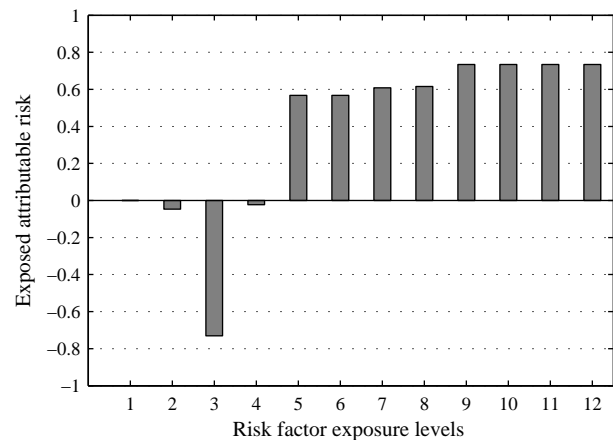Figure 7.   Exposed atributable risk vs exposure levels

Figure 7 shows the exposed attributable risk. This indicator shows the proportion of risk that is due to exposure to the factor under study. In the case of Level 5, the following interpretation holds:

*In the case of a risk factor level equal to 5, the 56.7% of critical TDD scenarios are due to factor exposure. The rest of the risk is due to the presence of other factors.*

For the particular case of exposure level 3, the factor L4 is considered protective of 73,1% of the occurrence of critical TDD scenarios.

According to Figures 5 and 6, the impact depends on the level of exposure to the risk factor, therefore depends on the current level of the disturbing load L4. Due to the presence of harmonics induced by other loads, the risk factor incidence could interact with them and the result of this interaction is the TDD levels on the main feeder. An example of this is the case 4 wherein the interaction between the risk factor with other disturbances decreases the risk of critical TDD levels on the feeder. In this case, the interaction with L4 acts as a protective factor. On the other hand, in cases 5 to 12 the interaction of L4 increases the risk of high TDD levels, then L4 acts as a risk factor and the higher the current, the greater the risk of critical TDD scenarios.

### C. Prevention of new cases

In order to prevent or reduce the risk of high TDD levels two possibilities are proposed. First, the exposure to the risk factor could be reduced. In this case, scenarios 5 to 12, where the risk of high TDD levels increases significantly need to be avoided. Another option is to connect loads whose interaction with the present loads decreases the risk of TDD values above desired limits. This methodology can be extended to

analyze complex load interactions. From this perspective, epidemiology offers tools to verify the interaction between variables and evaluate complex problems of power quality with a new approach.

## V. Conclusions

A methodology based on epidemiological analysis for assessing risk factors and incidence rates of harmonic distortion in a distribution network was proposed. Current harmonics emission risk at the PCC due to the connection of disturbing loads were analyzed. Multiple loads connection scenarios were simulated using Monte Carlo Algorithms. With the simulation results, potential risk factors for critical harmonics indicators were identified and connection loads scenarios are classified into exposed and non-exposed to these risk factors. Finally, the incidence ratio of harmonics, the attributable risk, the relative risk and Exposed attributable risk were calculated and critical connection loads scenario were identified. The results show a great application of epidemiological methods in characterizing the grid vulnerability under harmonic pollution and the proposed methodology may be easily extended to other types of power quality disturbances.

## VI. Acknowledgements

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