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ON COMPARISON OF SEMINORMS ON A BARREL

BY

José I. Nieto

Let E be a real or complex vector space, $\{p_\alpha\}$, $\{p_\beta\}$ two families of seminorms and $\mathcal{C}_{\{p_\alpha\}}$, $\mathcal{C}_{\{q_\beta\}}$ the locally convex topologies on E defined by $\{p_\alpha\}$ and $\{q_\beta\}$, respectively. We take from [3] the following.

DEFINITION. $\{q_\beta\}$ is said to be stronger than $\{p_\alpha\}$ on a subset S of E if the topology induced by $\mathcal{C}_{\{q_\beta\}}$ on S is stronger than the one induced by $\mathcal{C}_{\{p_\alpha\}}$.

It is well known that if the family $\{q_\beta\}$ is filtrating, i.e. for each finite subfamily $q_{\beta_1}, \dots, q_{\beta_n}$ there exists $q_\beta \in \{q_\beta\}$ such that

$$\sup \{q_{\beta_i}(x)\} \leq q_\beta(x) \quad \text{for all } x \in E, \\ i = 1, \dots, n$$

then a necessary and sufficient condition for $\{q_\beta\}$ to be stronger than $\{p_\alpha\}$ on E is that for any given p_α there exist q_β and a positive constant M such that

$$(1) \quad p_\alpha(x) \leq Mq_\beta(x) \quad \text{for all } x \in E.$$

In this note we would like to announce a theorem which not only contains the previous result but also, among others, a lemma due to J. Lions (Lemma 2.9 in [6]) and a lemma of J. Dixmier [2]. Detailed proofs of our results will appear else-

where in a forthcoming publication.

THEOREM. Let $\{p_\alpha\}$ and $\{q_\beta\}$ be two families of seminorms on E , with $\{q_\beta\}$ filtrating. Further, assume that $S \subset E$ is a subset of the form $S = \{x \mid \Pi(x) \leq 1\}$, where Π is a seminorm on E . Then the following statements are equivalent:

- a) $\{q_\beta\}$ is stronger than $\{p_\alpha\}$ on S ;
- b) To each $\varepsilon > 0$ and p_α there correspond q_β and $\eta > 0$ such that for $x \in S$ $q_\beta(x) \leq \eta$ implies $p_\alpha(x) \leq \varepsilon$;
- c) Given $\varepsilon > 0$ and p_α there exist q_β and a positive constant K such that

$$(2) \quad p_\alpha(x) \leq \varepsilon \Pi(x) + K q_\beta(x) \quad \text{for all } x \in E.$$

Consequences of the previous theorem (assuming $\{q_\beta\}$ filtrating).

- I. $\{q_\beta\}$ is stronger than $\{p_\alpha\}$ on E if and only if (1) holds.
- II. Let E be a topological vector space and S a barrel in E with Minkowski functional Π . Then a necessary and sufficient condition for $\{q_\beta\}$ to be stronger than $\{p_\alpha\}$ on S is that (2) be valid.

Assume now that A, B, C are three normed spaces with norms $\|\cdot\|_A, \|\cdot\|_B$ and $\|\cdot\|_C$, respectively, such that

i) $A \subset B \subset C$

ii) The imbeddings $i_{AB} : A \rightarrow B$
 $i_{BC} : B \rightarrow C$

are continuous.

Taking in the theorem $E = A, \Pi(x) = \|x\|_A$, $p(x) = \|x\|_B$ and $q(x) = \|x\|_C$ for $x \in A$, we obtain:

III. The spaces B and C induce on S the same topology if and only if to each $\varepsilon > 0$ there corresponds a positive constant $K = K(\varepsilon)$ such that

$$(3) \quad \|x\|_B \leq \varepsilon \|x\|_A + K \|x\|_C \quad \text{for all } x \in A$$

IV. (Lions' Lemma). If the imbedding i_{AB} is compact then the interpolation inequality (3) holds.

NOTE 1. We show with a concrete example that i_{AB} does not have to be compact for (3) to be valid.

V. Suppose that there exist constants $M > 0$, $u > 0$, $v > 0$ such that.

$$(4) \quad \|x\|_B \leq M \|x\|_A^u \cdot \|x\|_B^v \quad \text{for all } x \in A$$

Then (3) holds.

Let $H^s = H^s(\mathbb{R}^n)$ (s real) be the Sobolev space of all tempered distributions φ such that

$$\|\varphi\|_s = \left(\int_{\mathbb{R}^n} (1 + |\xi|^2)^s |\hat{\varphi}(\xi)|^2 d\xi \right)^{\frac{1}{2}} < \infty,$$

where $\hat{\varphi}$ denotes the Fourier transform of φ . Then we have:

VI. Suppose that s, t, r , are real numbers such that $s > t > r$. Then to each $\varepsilon > 0$ there corresponds a positive constant $K = K(\varepsilon, s, t, r)$ such that

$$(5) \quad \|\varphi\|_t \leq \varepsilon \|\varphi\|_s + K \|\varphi\|_r \quad \text{for all } \varphi \in H^s \subset H^t \subset H^r$$

NOTE 2. The inequality (5) has been known only for the spaces $H^s(\Omega)$ (or the spaces $\dot{H}^s(\Omega)$), where s is a non-negative integer and Ω a bounded domain of \mathbb{R}^n with smooth boundary (see for example [1]). For these spaces $H^s(\mathbb{R}^n)$, however, the situation is different due to the fact that Rellich's theorem no longer holds.

VII. Let E be a normed space with norm $\| \cdot \|$, and \mathcal{A}, \mathcal{B} linear operators with domains of definition $D(\mathcal{A}), D(\mathcal{B})$, respectively, such that $D(\mathcal{A}) \subset D(\mathcal{B})$. If \mathcal{B} is closable and \mathcal{A} - compact, then to each $\varepsilon > 0$ there corresponds a positive constant $K = K(\varepsilon)$ such that

$$(6) \quad \| \mathcal{B}x \| \leq \varepsilon \| \mathcal{A}x \| + K \| x \| \quad \text{for all } x \in D(\mathcal{A}) \subset D(\mathcal{B})$$

NOTE 3. The inequality (6) is known to play a role in perturbation theory. See [4], in particular the corollary to Theorem V.3.7.

VIII. (Dixmier's lemmas). Let E be a normed space, E' its topological dual and M'_1, M'_2 two linear subspaces of E' its topological dual and $\underline{M}'_1, \underline{M}'_2$ two linear subspaces of with closures $\underline{M}'_1, \underline{M}'_2$ in the norm topology of E' . Then the weak topologies $\sigma(E, M'_1), \sigma(E, \underline{M}'_2)$ coincide on the unit ball in E if and only if $\underline{M}'_1 = \underline{M}'_2$.

NOTE 4. We point out that from V it follows that inequalities of type (3) hold for all families of Banach spaces which form a scale as in 5. Finally the author wishes to express his gratitude to Professor Henri G. Garnir for valuable suggestions.

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University of Mainz, Germany, and University of Maryland.