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REMARKS ON WEAKLY CONTINUOUS FUNCTIONS IN BANACH SPACES

por

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Let E be a Banach space over the reals and let E^* be the dual space. Let $(\alpha_1, \dots, \alpha_n)$ be a finite sequence of non-negative integers and $u = (u_1, \dots, u_n)$ a finite sequence of elements in E^* . The notation $u^\alpha = u_1^{\alpha_1} \dots u_n^{\alpha_n}$ is standard and will be used throughout. We will write $|\alpha| = \alpha_1 + \dots + \alpha_n$. Any real valued function in E of the form $P = \sum_{|\alpha| \leq n} a_\alpha u^\alpha$, a_α a real number, is said to be a polynomial. Clearly, every polynomial is weakly continuous.

THEOREM 1. - Let E be a reflexive Banach space and let $f : E \rightarrow \mathbb{R}^1$. Then f is weakly continuous if and only if there is a sequence $\{P_n\}$ of polynomials that converges to f uniformly on every bounded set.

Proof. Given $x, y \in E$, $x \neq y$, there is some $u \in E^*$ such that $u(x) \neq u(y)$ (by the Hahn-Banach Theorem). Since the unit ball $\{x \mid \|x\| \leq 1\}$ is weakly compact (E is reflexive), the theorem follows from the Stone-Weierstrass Theorem (see [1]; p. 281).

THEOREM 2. - Let E be an infinite dimensional Banach space over the reals, $A \subset E$ an open and bounded subset. Let $f : \bar{A} \rightarrow \mathbb{R}^1$ be weakly continuous. Then $f(\bar{A}) \subset f(A)$.

(A is the boundary of A).

Proof. It is enough to show that \bar{A} is contained in the weak closure of ∂A . Let x be an interior point of A and $V(x) = A \cap \left(\bigcap_{i=1}^n u_i^{-1} \right) \left(\bigcup_{i=1}^n [u_i(x) - \epsilon, u_i(x) + \epsilon] \right)$ a weak neighborhood of x in A . Since E is infinite dimensional, there is some $y \neq 0$ such that $u_i(y) = 0$ for $i = 1, 2, \dots, n$. Now, $x + ty \in \partial A$ for some t , because A is bounded and open, so $x + ty \in \bigcup \partial A$.

It is proved in ([2]); p.76) that if $f : E \rightarrow R^1$ has a compact derivative $f' : E \rightarrow E^*$, then f is weakly continuous. The following example shows that the converse is not true.

EXAMPLE.- Let ℓ^2 be the usual Hilbert space of real sequences $X = (x_1, x_2, \dots)$ such that $\sum_i x_i^2 < \infty$. Let $\Omega_r =$

$= \{x \mid \|x\| \leq r < 1\}$ and let $a_n = (a_i^n)$ where $a_i^n = 0$ if $i \neq n$, $a_n^n = r$. Define $f : \Omega_1 \rightarrow R^1$ by $f(x) = \sum_{n=1}^{\infty} n^{-1} x_n^n$.

Then $\left| f(x) - \sum_{n=1}^p n^{-1} x_n^n \right| = \left| \sum_{n>p} n^{-1} x_n^n \right| \leq \left(\sum_{n>p} n^{-2} \right)^{1/2} \left(\sum_{n>p} x_n^{2n} \right)^{1/2} \leq \sum_{n>p} n^{-2}$, since $|x_n| \leq 1$ for all n .

This shows that f is weakly continuous since it is the uniform limit of polynomials on every Ω_r .

However, $f'(x) = \sum_{n=1}^{\infty} x_n^{-1} e_n$ is not compact since $f'(a_n) = a_n$.

REMARK. The example above shows that a weakly continuous function of class C^1 from an infinite dimensional Hilbert space into the reals can not be approximated, in general, by polynomials in the topology of uniform convergence of f and its derivatives on bounded sets. For suppose there is a sequence $\{P_n\}$ of polynomials such that $\{P_n\} \rightarrow f$, $\{P_n'\} \rightarrow f'$ uniformly in every bounded set. A trivial calculation shows that $P_n'(E)$ is finite dimensional for every polynomial P . This would imply that f' is compact (see [2] ; Th 1.1 p. 10).

It would be interesting to know the closure in the C^1 topology of the algebra of polynomials in an infinite dimensional Hilbert space. The finite dimensional case is the classical Bernstein's Theorem.

REFERENCES

- 1.- J. Dugundji "Topology, Allyn and Bacon (1966)
- 2.- M.M. Vainberg, "Variational Methods for the study of Nonlinear Operators," Holden Day (1964).