



## AN ISOMORPHISM THEOREM FOR ALGEBRAS

by

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Suppose we have given two algebras  $\mathcal{A}$  and  $\mathcal{B}$  over a field  $F$  and an  $F$ -linear  $\phi$  such that

$\phi : \mathcal{A} \rightarrow \mathcal{B}$  such that  $\phi|_{\mathcal{A}^2} : \mathcal{A}^2 \rightarrow \mathcal{B}^2$  is onto,

and such that  $\phi$  is an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as vector spaces over  $F$ .

We wish to find necessary and sufficient conditions that  $\phi$  be an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as algebras.

For any algebra  $\mathcal{A}$  the multiplication in  $\mathcal{A}$  is an  $F$ -bilinear map,

$$\tau_{\mathcal{A}} : \mathcal{A} \times \mathcal{A} \longrightarrow \mathcal{A}^2$$

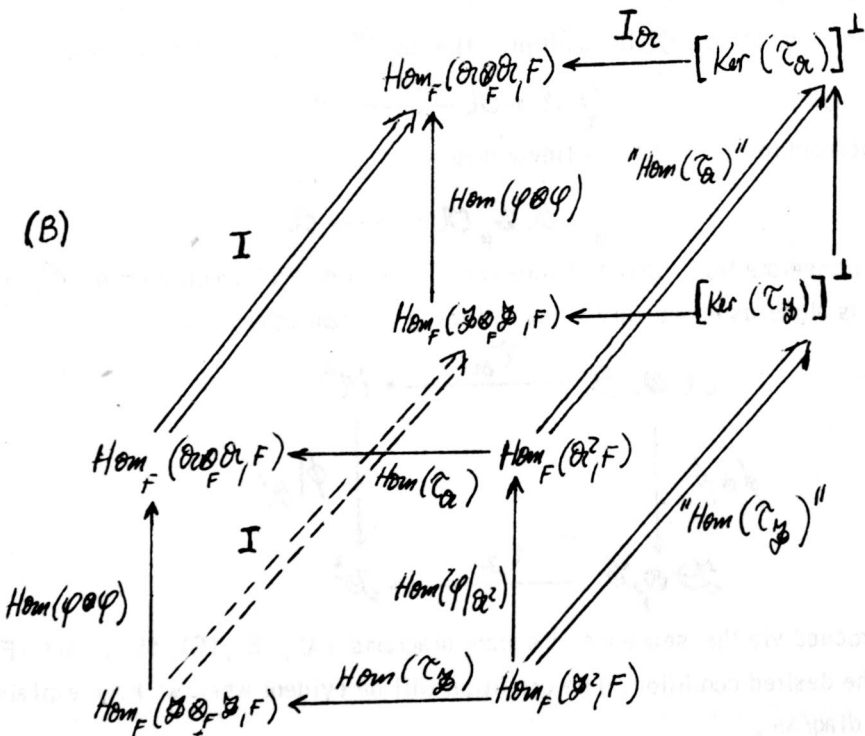
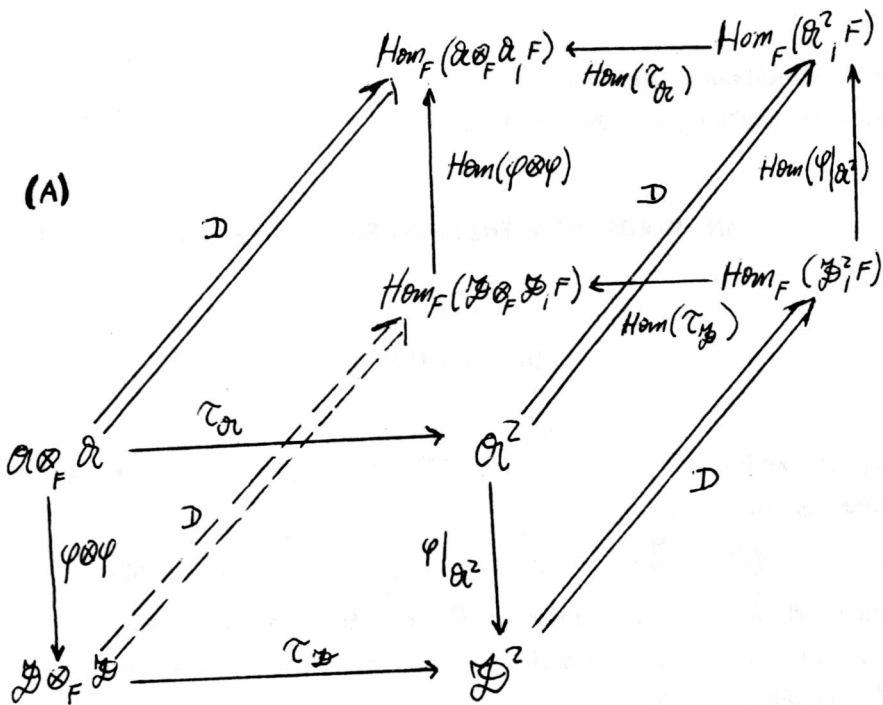
Associated to  $\tau$  is an  $F$ -linear map,

$$\tau_{\mathcal{A}} : \mathcal{A} \otimes_F \mathcal{A} \longrightarrow \mathcal{A}^2$$

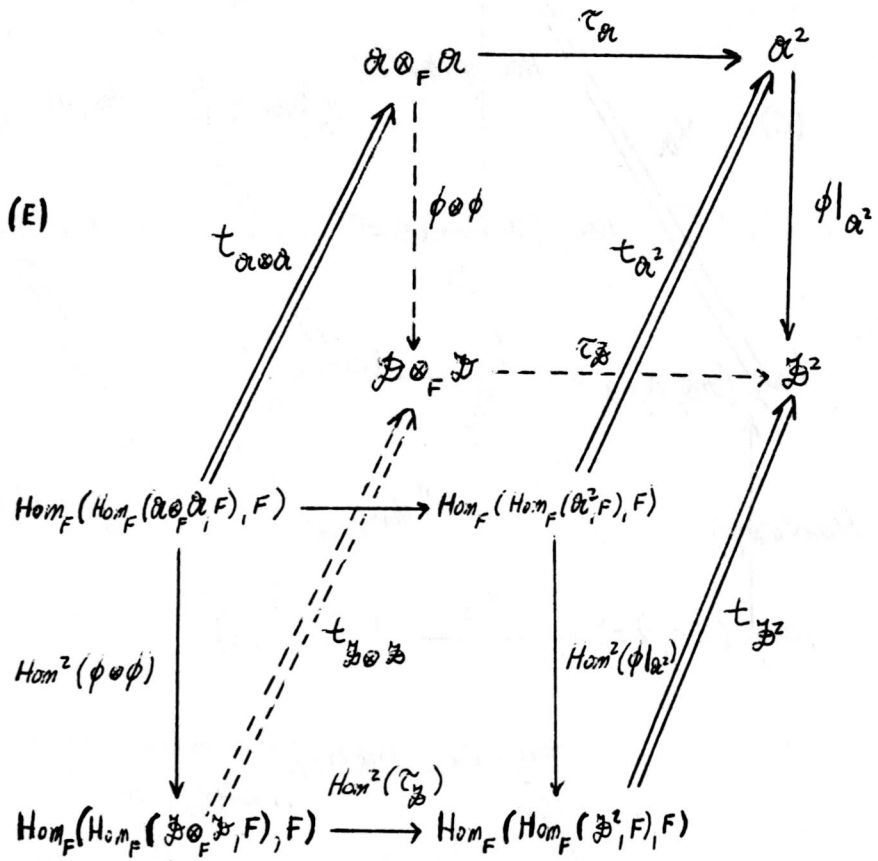
It is immediate from the definitions that  $\phi$  will be an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as algebras if and only if the following diagram commutes:

$$\begin{array}{ccc} \mathcal{A} \otimes_F \mathcal{A} & \xrightarrow{\tau_{\mathcal{A}}} & \mathcal{A}^2 \\ \phi \otimes \phi \downarrow & & \downarrow \phi|_{\mathcal{A}^2} \\ \mathcal{B} \otimes_F \mathcal{B} & \xrightarrow{\tau_{\mathcal{B}}} & \mathcal{B}^2 \end{array}$$

We proceed via the sequence of square diagrams (A), (B), (C), (D), and (E) to get the desired condition. The condition will be evident when we have explained each diagram.







(A) : In (A) we get (2) from (1) by applying the functor  $D = \text{Hom}_F( \ , F)$  which assigns to every  $F$ -linear space its algebraic dual and to every  $F$ -linear map its adjoint .

(B) : In (B) we get (3) from (2) by recalling that since for any  $\alpha$  ,  $\tau_\alpha$  is surjective, we have  $\text{Hom}_F(\tau_\alpha)$  injective ; and so we can identify  $\text{Hom}_F(\alpha^2, F)$  with its image in  $\text{Hom}_F(\alpha \otimes_F \alpha, F)$ . It is standard that this image is ,

$$[\text{Ker}(\tau_\alpha)]^\perp = \{ f \in \text{Hom}_F(\alpha \otimes_F \alpha, F) \mid f(\text{Ker}(\tau_\alpha)) = 0 \}$$

We let  $I_\alpha$  be the inclusion of  $[\text{Ker}(\tau_\alpha)]^\perp$  into  $\text{Hom}_F(\alpha \otimes_F \alpha, F)$  and " $\text{Hom}_F(\tau_\alpha)$ " be the mapping induced by  $\text{Hom}_F(\tau_\alpha)$ .

(C) : In (C) we get (4) from (3) by recalling that there is a natural isomorphism

$u_{(\bullet)}$  between the following two functors of one variable ,

$$\text{Hom}_F(\bullet \otimes_F \bullet, F) \quad \text{and} \quad \text{Hom}_F(\bullet, \text{Hom}_F(\bullet, F)).$$

(D) In (C) we get (5) from (2) by applying the functor  $D$  again .

(E) In (E) we get (1) from (5) by  $t_{(\bullet)}$  where  $t_{(\bullet)}$  is the natural isomorphism between the two functors  $D^2$  and  $I$ .

REMARK . We must at this point assume some such condition as  $\dim < \infty$  so that  $D^2$  will be naturally isomorphic to  $I$ .

We can now state the main theorem .

THEOREM 1 . Let  $\mathcal{A}$  and  $\mathcal{B}$  be two finite-dimensional algebras over  $F$ . Suppose we have an  $F$ -linear  $\phi$  such that

$$\phi : \mathcal{A} \rightarrow \mathcal{B} \text{ such that } \phi|_{\mathcal{A}^2} : \mathcal{A}^2 \rightarrow \mathcal{B} \text{ is onto}$$

and such that  $\phi$  is a vector space isomorphism . Then  $\phi$  will be an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as algebras  $\iff$  the following diagram , denoted above by (4), commutes :

$$\begin{array}{ccc}
 \text{Hom}_F(\mathcal{A}, \text{Hom}_F(\mathcal{A}, F)) & \xleftarrow{I_{\mathcal{A}} \circ u_{\mathcal{A}}([Ker(\tau_{\mathcal{A}})])} & \\
 \uparrow \text{Hom}(\phi, \text{Hom}(\phi)) & & \uparrow \text{"Hom}(\phi|_{\mathcal{A}^2}\text{"} \\
 \text{Hom}_F(\mathcal{B}, \text{Hom}_F(\mathcal{B}, F)) & \xleftarrow{I_{\mathcal{B}} \circ u_{\mathcal{B}}([Ker(\tau_{\mathcal{B}})])} & 
 \end{array}$$

PROOF : Since we have seen that  $\phi$  is an algebra isomorphism  $\iff$  (1) commutes, the proof just consists of the fact that as soon as any one of the squares (1) through (5) commute, they all do .

Use the notation " $(k) \Rightarrow (l)$ " for  $(k)$  commutes  $\Rightarrow$   $(l)$  does .

(1)  $\Rightarrow$  (2) : This follows from the functorial property of  $D$  .

(2)  $\iff$  (3) : The faces bounded (in part) by the double edges in (B) commute . Since  $I$ , " $\text{Hom}(\tau_{\mathcal{A}})$ " and " $\text{Hom}(\tau_{\mathcal{B}})$ " are isomorphism, (2)  $\iff$  (3) . (3)  $\iff$  (4) : The faces bounded (in part) by the double edges of (C) commute since  $u_{(\bullet)}$  is a natural isomorphism . Again since  $u_{(\bullet)}$  is an isomorphism, (3)  $\iff$  (4) . (2)  $\Rightarrow$  (5) : Again the functorial property of  $D$  .

(5)  $\Leftrightarrow$  (1) : The faces bounded (in part) by the double edges in (E) commute since  $t_{(\bullet)}$  is an isomorphism (5)  $\Leftrightarrow$  (1). Since  $t_{(\bullet)}$  is an isomorphism (5)  $\Leftrightarrow$  (1).

*REMARK.* The essential feature of the whole proof is that  $D^2$  is naturally isomorphic to  $I$ . (This gives us the "if" part.) We could get other necessary and sufficient conditions for isomorphism if we had other invertible functors i.e. functors  $F$  for which there is a functor  $G$  such that  $G \circ F$  is naturally isomorphic to the identity functor.

It would be nice to have a necessary and sufficient isomorphism condition that explicitly shows the involvement of the base field and so, we will now choose bases for our  $F$ -spaces.

Let  $\{\alpha_i\}^n$ , and  $\{\beta_i\}^n$ , be bases for and respectively, and such that  $\{\alpha_i\}^r$ , and  $\{\beta_i\}^r$ , are bases for and respectively. Thus we have,

$$\begin{vmatrix} \alpha_1 \alpha_1 & \dots & \alpha_1 \alpha_n \\ \cdot & & \cdot \\ \cdot & & \cdot \\ \alpha_n \alpha_1 & \dots & \alpha_n \alpha_n \end{vmatrix} = \sum_{k=1}^n \begin{vmatrix} c_{11}^k & \dots & c_{1n}^k \\ \cdot & & \cdot \\ \cdot & & \cdot \\ c_{n1}^k & \dots & c_{nn}^k \end{vmatrix} \alpha_k, \text{ and,}$$

$$\begin{vmatrix} \beta_1 \beta_1 & \dots & \beta_1 \beta_n \\ \cdot & & \cdot \\ \cdot & & \cdot \\ \beta_n \beta_1 & \dots & \beta_n \beta_n \end{vmatrix} = \sum_{k=1}^r \begin{vmatrix} d_{11}^k & \dots & d_{1n}^k \\ \cdot & & \cdot \\ \cdot & & \cdot \\ d_{n1}^k & \dots & d_{nn}^k \end{vmatrix} \beta_k$$

The  $\{c_{ij}^k\}$  and  $\{d_{ij}^k\}$  are the multiplication constants of and with respect to the given bases. Let us write,  $C^k = [c_{ij}^k]$  and  $D^k = [d_{ij}^k]$ .

Further let  $H = [b_{ij}]$  be the matrix for  $\phi$  relative to the given bases.

*NOTE :* In the present notation the matrix for  $\phi|_{\mathcal{A}^2}$  is the upper-left hand corner of  $H$ .  $\hat{H}$  has the form ;

$$(7) \quad \left[ \begin{array}{c|c} b_{11} \dots b_{1r} & \\ \vdots & \vdots \\ b_{r1} \dots b_{rr} & \\ \hline b_{r+1,1} \dots & b_{r+1, r+1} \dots \\ \vdots & \vdots \\ \vdots & \vdots \\ \vdots & b_{nn} \end{array} \right] \quad \text{where } H|_{\mathcal{A}^2} = \begin{bmatrix} b_{11} & \dots & b_{1r} \\ \vdots & & \vdots \\ b_{r1} & \dots & b_{rr} \end{bmatrix}$$

We are now ready to state theorem (1) in the language of matrices.

**THEOREM 2.** - Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $n$ -dimensional algebras over  $F$ . Suppose  $\mathcal{A}^2$  and  $\mathcal{B}^2$  are both  $r$ -dimensional. Further suppose we have an  $F$ -linear  $\phi$  such that

$$\phi : \mathcal{A} \rightarrow \mathcal{B} \quad \text{and} \quad \phi|_{\mathcal{A}^2} : \mathcal{A}^2 \rightarrow \mathcal{B}^2$$

and such that  $\phi$  is a vector space isomorphism. Then  $\phi$  will be an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as algebras  $\Leftrightarrow$  the following set of matrix equations holds,

$$\begin{aligned} HD^1 H^T &= b_{11} C^1 + \dots + b_{r1} C^r \\ &\vdots \\ HD^r H^T &= b_{1r} C^1 + \dots + b_{rr} C^r \end{aligned}$$

That is,

$$(8) \quad \begin{bmatrix} HD^1 H^T \\ \vdots \\ HD^r H^T \end{bmatrix} = H|_{\mathcal{A}^2}^T \begin{bmatrix} C^1 \\ \vdots \\ C^r \end{bmatrix}$$

**PROOF:** For any algebra  $\mathcal{A}$ , both  $\text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  and  $\text{Hom}_F(\mathcal{A}, \text{Hom}_F(\mathcal{A}, F))$  will be isomorphic to a space of  $n \times n$   $F$ -matrices. Denote these by  $\text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  and  $\text{Hom}_F(\mathcal{A}, \text{Hom}_F(\mathcal{A}, F))$  respectively. The proof will now consist mainly of three observations.

(i) The natural isomorphism between  $\text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  and  $\text{Hom}_F(\mathcal{A}, \text{Hom}_F(\mathcal{A}, F))$  preserves matrices, i.e., if to  $f \in \text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  we associate the matrix  $M_f$  then  $t_{\mathcal{A}}(f) \in \text{Hom}_F(\mathcal{A}, \text{Hom}_F(\mathcal{A}, F))$  has the same matrix  $M_f$  associated to it (provided we use

the dual basis for  $\text{Hom}_F(\mathcal{A}, F)$ . To see why the last statement is so, recall that every  $f \in \text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  arises in the following way:

$$f(a_i \otimes a_j) = v_1(a_i) v_2(a_j)$$

where  $v_1, v_2 \in \text{Hom}_F(\mathcal{A}, F)$ . This correspondence between pairs of  $v_1, v_2$  in  $\text{Hom}_F(\mathcal{A}, F)$  and  $f \in \text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  is one-to-one. We thus write  $f = f_{v_1, v_2}$ .

The natural isomorphism  $t_{\mathcal{A}}$  from  $\text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  to  $\text{Hom}_F(\mathcal{A}, \text{Hom}_F(\mathcal{A}, F))$  is then defined by,

$$f_{v_1, v_2} \rightarrow S_{f_{v_1, v_2}}(a) = v_1(a) v \text{ for } a \in \mathcal{A}.$$

If  $\{a_i^*\}^n \subset \text{Hom}_F(\mathcal{A}, F)$  is the dual base to  $\{a_i\}^n$ , we have

$$v_2 = v_2(a_1) a_1^* + \dots + v_2(a_n) a_n^*.$$

Thus,

$$\begin{aligned} S_{f_{v_1, v_2}}(a_1) &= v_1(a_1) v_2(a_1) a_1^* + \dots + v_1(a_1) v_2(a_n) a_n^* \\ &\vdots \\ S_{f_{v_1, v_2}}(a_n) &= v_1(a_n) v_2(a_1) a_1^* + \dots + v_1(a_n) v_2(a_n) a_n^* \end{aligned}$$

And so we see that the matrix for the linear transformation  $S_{f_{v_1, v_2}}$  is the same as that for the functional  $f_{v_1, v_2}$ .

(ii) For any algebra  $\mathcal{A}$ , the mapping  $\tau_{\mathcal{A}} : \mathcal{A} \otimes_F \mathcal{A} \rightarrow \mathcal{A}^2$  defined above has  $r$  component functionals with respect to the base  $\{a_i\}_1^r$  of  $\mathcal{A}^2$ . Clearly,  $\tau_{\mathcal{A}}^k \in [\text{Ker}(\tau_{\mathcal{A}})]^\perp$  for  $k=1, \dots, r$ . Indeed, upon checking the isomorphism between  $[\text{Ker}(\tau_{\mathcal{A}})]^\perp$  and  $\text{Hom}_F(\mathcal{A}^2, F)$  one sees that  $\{\tau_{\mathcal{A}}^k\}_1^r$  corresponds to the dual base  $\{a_i^*\}_1^r$  of  $\text{Hom}_F(\mathcal{A}^2, F)$ . By its definition, the matrix  $C^k$  is the matrix for  $\tau_{\mathcal{A}}^k$ . Let  $\langle \{C^k\} \rangle$  denote the  $F$ -space generated by the  $\{C^k\}$ .

(iii) The mapping,



"Hom<sub>F</sub>(ϕ, Hom<sub>F</sub>(ϕ))" : Hom<sub>F</sub>( $\mathcal{S}$ , Hom<sub>F</sub>( $\mathcal{S}$ , F)) → Hom<sub>F</sub>( $\mathcal{R}$ , Hom<sub>F</sub>( $\mathcal{R}$ , F)) induced by Hom<sub>F</sub>(ϕ, Hom<sub>F</sub>(ϕ)) is given by,

$$M_S \rightarrow HM_S H^T.$$

This follows directly from the definition of Hom<sub>F</sub>(ϕ, Hom<sub>F</sub>(ϕ)) : S → Hom(ϕ) o S o ϕ and the fact that the matrices for Hom<sub>F</sub>(ϕ) and Hom<sub>F</sub>(ϕ) o S o ϕ are H<sup>T</sup> and HM<sub>S</sub>H<sup>T</sup>, respectively.

With the observations out of the way let us now consider the diagram (4) of Theorem (1) as a diagram of F-spaces of matrices.

We will then have that ϕ is an isomorphism of algebras <=> the following diagram commutes:

$$\begin{array}{ccc}
 \text{Hom}_F(\mathcal{R}, \text{Hom}_F(\mathcal{R}, F)) & \xleftarrow{I_C} & \langle \{C^k\} \rangle \\
 \uparrow H(\cdot)H^T & & \uparrow H \mid \mathcal{R}^T \\
 \text{Hom}_F(\mathcal{S}, \text{Hom}_F(\mathcal{S}, F)) & \xleftarrow{I_D} & \langle \{D^k\} \rangle
 \end{array}$$

But the commutation is just the equation (8). ■

For the reader who is not too sure about all these diagrams, I will now include a short computational derivation of the equation (8). Although this second proof is straight-forward, it does not yield as much insight into "what is going on" as the first proof does. For instance; the equation (8) indicates that the F-linear map H(·)H<sup>T</sup> : <{D<sup>k</sup>}> → <{C<sup>k</sup>}> is an adjoint, but there is no way of seeing what duality is with out the first derivation.

PROOF : (Alternate of theorem 2)). Since ϕ(α<sub>i</sub>) = ∑<sub>j=1</sub><sup>n</sup> b<sub>ij</sub>β<sub>j</sub> multiplying out gives,

$$[\phi(\alpha_i) \cdot \phi(\alpha_j)] = H [\beta_i \beta_j] H^T$$

Using the fact that H(·)H<sup>T</sup> acts linearly on F-linear combinations of

matrices we then have ,

$$(*) \quad [ \phi (a_i) \cdot \phi (a_j) ] = H [ \beta_i \beta_j ] H^T = \sum_{k=1}^r H D^k H^T \beta_k .$$

On the other hand ,  $\phi(a_i) = \sum_{j=1}^r b_{ij} \beta_j$  for  $i = 1, \dots, r$  gives ,

$$(**) \quad \sum_{k=1}^r C^k \phi (a_k) = \sum_{j=1}^r b_{kj} \beta_j = \sum_{k=1}^r \left( \sum_{j=1}^r b_{jk} C^j \right) \beta_k .$$

But  $\phi$  is an isomorphism of algebras  $\Leftrightarrow$

$$[ \phi (a_i) \cdot \phi (a_j) ] = \sum_{k=1}^r C^k \phi (a_k) .$$

Therefore (\*) and (\*\*) give upon equating coefficients :

$$\phi \text{ is an isomorphism } \Leftrightarrow H D^k H^T = \sum_{j=1}^r b_{jk} C^j , \text{ for } k=1, \dots, r$$

This is (8) .

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## MOVIMIENTO MATEMATICO COLOMBIANO

39. **UBER EINE CHARAKTERISIERUNG DER ELLIPTISCHEN DIFFERENTIAL OPERATOREN**, von José NIETO, *Arch. Math.* 10 (1959) 123-125. *Se anuncia que un operador diferencial con coeficientes indefinidamente diferenciables  $D$  (definido en un abierto  $\Omega \subset \mathbb{R}^N$ ) es casi-elíptico si y solo si es elíptico.*

40. **EINE CHARAKTERISIERUNG DER ELLIPTISCHEN DIFFERENTIAL OPERATOREN**, von José NIETO, *Math. Ann.* 141 (1960), 22-42. *Se demuestran, entre otros, el resultado anunciado en el artículo anterior.*

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$$(H\phi)(Z) = \frac{1}{\pi i} \int_{\Gamma} \phi(\zeta) (\zeta - Z)^{-1} d\zeta$$

$Z \in \Gamma$  está anotado en  $L^p$ ,  $1 < p < \infty$ , si  $\Gamma$  es una curva simple cerrada de clase  $C^{1+\epsilon}$ ,  $\epsilon > 0$ .

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47. **GAME THEORY**, by Guillermo OWEN. W. B. Saunders Co., Philadelphia (1968), XII + 228 pp. *Este libro puede usarse como base de un curso sobre la teoría de juegos y servir como libro guía en las áreas puramente matemáticas de la teoría de juegos.*

**48 . CAMPOS VECTORIALES SOBRE CIERTAS ESFERAS**, por J. L. ARRAUT (con K. George). *Acta Mexicana Ci. Tecn.* 1 (1967) 221-228 . *Exposición de la construcción de  $n$  campos vectoriales linealmente independientes sobre  $S^n$ ,  $n = 1, 3, 7$ , usando números complejos cuaternios y octavas .*

**49 . AN INFINITE DIMENSIONAL VERSION OF A THEOREM OF BERNSTEIN**, by Guillermo RESTREPO, *Proc. Amer. Math. Soc.* 23 (1969) pp. 193-198 . *Sea  $P(\mathbb{R}^n)$  el álgebra de los polinomios de  $n$  variables con la topología de la convergencia uniforme en los conjuntos acotados de una función  $f$  y de su derivada  $f'$ . Un teorema clásico de Bernstein dice que la clausura de  $P(\mathbb{R}^n)$  es el álgebra  $C^1(\mathbb{R}^n)$  de las funciones numéricas de clase  $C^1$ . En el artículo en cuestión, el autor define el álgebra  $P(X)$  de los polinomios en un espacio de Banach  $X$  y determina su clausura para una clase restringida de espacios de Banach reflexivos (theo. 8). Esto responde una pregunta hecha en *Rev. Colombiana Mat.* 2 (1968). [ Ver MMC, # 38 ] .*

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**52 . FUNCIONES DE VARIABLE COMPLEJA**, por José I. NIETO . *Monografía No. 9 , Serie de Matemática . Unión Panamericana, Washington, (1969) , viii + 146 .*