

ON WARING'S PROBLEM IN ALGEBRAIC NUMBER FIELDS

by

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Dedicated to Professor Tikao Tatzawa on his 60th birthday

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- § 2. Singular series and generalized Farey Dissection
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§ 1. *Introduction.* Let K be an algebraic number field of order n over the rational number field Q and k be a positive rational integer. Let \mathfrak{o} be the integral domain consisting of all integers in K (the unit ideal of K) and J_k be the ring generated by k -th powers of all integers in K . On account of the identity

$$k! \gamma = \sum_{\ell=0}^{k-1} (-1)^{k-1-\ell} \binom{k-1}{\ell} \{ (\gamma+\ell)^k - \ell^k \}$$

for $\gamma \in \mathfrak{o}$, the ring J_k is an order. We use a letter c (and similarly c' , c'' , \dots , c_1 , c_2 , \dots) to denote a positive constant depending only on K , k and s . It is not necessarily the same one each time it occurs. The constant c may

well depend on another parameter $*$. In this case we write $c(*)$ to explain the meaning.

Applying the Vinogradov [13], [14], [15], Siegel [7], [8] method and using the results of Tatzawa [10], [11], [12], Körner [4], Stemmler [9] and Mitsui [5], [6], we shall prove the following :

MAIN THEOREM : Let $k \geq c$ (which is determined explicitly) and let $\nu \in J_k$ be a totally positive integer with sufficiently large norm $N(\nu)$. If

$$s > 2nk \log k + 6nk \log \log k \\ + 2(2n \log n + n \log \log n + 2 \log n + 14)k + 1,$$

then the equation

$$\nu = \lambda_1^k + \lambda_2^k + \dots + \lambda_s^k$$

is always solvable in totally non-negative integers λ_r ($1 \leq r \leq s$) subject to the conditions

$$N(\lambda_r)^k \leq c^* N(\nu) \quad (1 \leq r \leq s).$$

We introduce the notation $G_K(k)$ for the least value of s such that every totally positive integer ν , where $N(\nu)$ is sufficiently large, is representable in the form of the theorem.

In the rational number field Q , $G(k)$ denote the least value of s with the property that every sufficiently large rational integer ν is representable in the sum of s k -th powers where $\lambda_1, \dots, \lambda_s$ are non-negative rational integers.

Siegel succeeded in dealing with the Waring problem in an algebraic number field. He extended the circle method of Hardy-Littlewood theory to the case of an algebraic number field.

In 1958 Tatzawa [10] proved that

$$G_K(k) < 8nk(n+k)$$

and in 1971 [11], [12], he obtained the result between $G_K(k)$ and n i. e.

$$G_K(k) \leq 4nk + 2nG(k) + 1.$$

In 1961 Korner [4] proved that

$$G_K(k) < nk \left(3 \log k + 3 \log \left(\frac{n^2 + 1}{3} + 1 \right) \right).$$

In 1959 it has shown by I. M. Vinogradov [14] himself that for $k \geq 170,000$

$$G(k) < 2k \log k + 4k \log \log k + 2k \log \log \log k + 13k.$$

Our aim is to extend this remarkable and very beautiful result to an algebraic number field. Our results were reported at the United States - Japan Seminar on Modern Methods in Number Theory at Tokyo in 1971 [2].

§ 2. Singular Series and Generalized Farey Dissection.

Let $K^{(\ell)}$ ($1 \leq \ell \leq n_1$) be n_1 real conjugate fields, and let $K^{(m)}$, $K^{(m+n_2)}$ ($n_1 + 1 \leq m \leq n_1 + n_2$) be n_2 pairs of complex conjugate fields, so that $n = n_1 + 2n_2$. We denote by $\gamma^{(q)}$ ($1 \leq q \leq n$) the conjugates of $\gamma \in K$ and define

$$S(\gamma) = \text{trace}(\gamma) = \sum_{q=1}^n \gamma^{(q)}.$$

Let γ_r ($1 \leq r \leq n$) be numbers of K and x_r ($1 \leq r \leq n$) be real numbers. We set $\xi = \sum_{r=1}^n \gamma_r x_r$ and define

$$\xi^{(q)} = \sum_{r=1}^n \gamma_r^{(q)} x_r \quad \text{and} \quad \text{trace}(\xi) = \sum_{q=1}^n \xi^{(q)}.$$

For brevity we write $E(\xi) = \exp \{ 2\pi i \text{trace}(\xi) \}$ and use the abbreviations

$$\| \gamma \| = \text{Max}_{1 \leq q \leq n} | \gamma^{(q)} | \quad \text{and} \quad \| \xi - \gamma \| = \text{Max}_{1 \leq q \leq n} | \xi^{(q)} - \gamma^{(q)} |$$

A number γ of K is called totally positive or totally non-negative according to $\gamma^{(\ell)} > 0$ or $\gamma^{(\ell)} \geq 0$ ($1 \leq \ell \leq n_1$) respectively. If F and G are functions of certain variables and G is positive, then the notation $F \ll G$ or $F = O(G)$ means that there exists a positive constant c (θ -constant) such that $|F| \leq cG$ in the domain designated.

Let \mathfrak{d} be the ramification ideal of K , and D be the discriminant of K , so that $N(\mathfrak{d}) = D$. For any number γ in K , we can determine uniquely integral ideals \mathfrak{a} , \mathfrak{b} such that

$$\gamma \mathfrak{d} = \mathfrak{b}/\mathfrak{a} \quad , \quad (\mathfrak{a}, \mathfrak{b}) = \mathfrak{a} .$$

We write then $\gamma \rightarrow \mathfrak{a}$ for convenience and we call \mathfrak{a} denominator of γ . We can choose $[\omega_1, \dots, \omega_n]$ as the integral basis of K and $[\rho_1, \dots, \rho_n]$ as a basis of \mathfrak{d}^{-1} satisfying

$$\text{trace}(\rho_r \omega_s) = \begin{cases} 1 & (r = s) \\ 0 & (r \neq s). \end{cases}$$

Since, by definition $\mathfrak{d}^{-1} = \{ \rho ; E(\rho \alpha) = 1 \text{ for every } \alpha \in \mathfrak{a} \}$, we obtain $E(\lambda^k \gamma) = E(\mu^k \gamma)$ provided $\gamma \rightarrow \mathfrak{a}$, $\lambda, \mu \in \mathfrak{a}$ and $\lambda \equiv \mu \pmod{\mathfrak{a}}$. Let

$$S(\gamma) = \sum_{\lambda} E(\lambda^k \gamma) ,$$

the summation being over a complete residue system mod \mathfrak{a} . In view of the above, the sum $S(\gamma)$ is independent of the choice of a system.

Let $\nu \in \mathfrak{a}$ and let \mathfrak{a} be an integral ideal in K . We constitute the sum

$$H(\mathfrak{a}) = \sum_{\gamma} N(\mathfrak{a})^{-s} S(\gamma)^s E(\nu \gamma) ,$$

where γ runs over a reduced residue system of $(\mathfrak{a} \mathfrak{d})^{-1} \pmod{\mathfrak{d}^{-1}}$. $H(\mathfrak{a})$ is independent of the choice of a system. The series

$$\mathcal{G}(\nu) = \sum_{\alpha} H(\alpha)$$

is called a singular series which is absolutely convergent for $s \geq 4kn$.

The following theorem is one of the fundamental theorem to Waring problem.

THEOREM 1. Assume that $k \geq 3$, $s \geq s_0 = 4nk$ and ν is an integer of J_k . Then the singular series $\mathcal{G}(\nu)$ is absolutely convergent and can be expressed as

$$\mathcal{G}(\nu) = \prod_p \chi_p(\nu)$$

where

$$\chi_p(\nu) = \sum_{\ell=0}^{\infty} H(p^\ell).$$

Furthermore there exists positive constants c' , c'' satisfying

$$c' > \mathcal{G}(\nu) > c''.$$

Tatuzawa [10] [12] proved this result for $s \geq s_0 = [8nk(\log k - 1)]$. Stemmler [9] improved on the estimation of s_0 as mentioned above.

Let X be the n -dimensional Euclidean space and U be the unit cube, namely

$$U = \{ (x_1, x_2, \dots, x_n) \ ; \ 0 \leq x_1 \leq 1, \dots, 0 \leq x_n \leq 1 \}.$$

We denote by Γ the set of

$$\gamma = \rho_1 x_1 + \dots + \rho_n x_n$$

fulfilling the conditions

$$(x_1, \dots, x_n) \in U, x_r \ (1 \leq r \leq n) \text{ rational numbers, } N(\alpha) \leq t^n,$$

where $\gamma \rightarrow \alpha$. We define the basic domain $B_\gamma = B_\gamma(t, b)$, for every $\gamma \in \Gamma$, subject to $\gamma \rightarrow \alpha$, by

$$x = (x_1, \dots, x_n); \quad x \in U, \quad \xi = \rho_1 x_1 + \dots + \rho_n x_n$$

$$\left\{ \prod_{q=1}^n \text{Max} (b | \xi^{(q)} - \gamma_o^{(q)} |, t^{-1}) \leq 1/N(\alpha) \right\},$$

for any $\gamma_o \equiv \gamma \pmod{d^{-1}}$, whose contribution to ξ does not vanish $\}$.

$S = S(t, b) = U - \sum_{\gamma \in \Gamma} B_\gamma$ is termed the supplementary domain. We write in these cases as $\xi \in B_\gamma$ or $\xi \in S$. This division of U into $S = S(t, b)$ and $B_\gamma = B_\gamma(t, b)$ depends on the pair (t, b) . We shall call this division the generalized Farey dissection of U with respect to (t, b) .

Suppose that

$$\xi = \rho_1 x_1 + \dots + \rho_n x_n, \quad (x_1, \dots, x_n) \in X$$

$$\eta = \omega_1 y_1 + \dots + \omega_n y_n, \quad (y_1, \dots, y_n) \in Y,$$

then we use abbreviations

$$dx \quad \text{or} \quad d\xi = dx_1 \dots dx_n,$$

$$dy \quad \text{or} \quad d\eta = dy_1 \dots dy_n.$$

Let T' be a sufficiently large number. We denote by $k \geq c$ a fixed natural number and write

$$P = [T']^{1/k}, \quad X_o = [P], \quad R = [X_o^{1 - 1/(2\sqrt{k})}],$$

$$t_1 = X_o^{1-f}, \quad b_1 = X_o^{k-1+f} \quad (0 < f \leq \frac{1}{2})$$

$$t_o = R^b, \quad b_o = R^k t_o^{n-1} \log t_o, \quad \text{where} \quad b = n/(1+n^2).$$

We use the generalized Farey dissection of U with respect to (t, b) , where $(t, b) = (t_1, b_1)$ or (t_o, b_o) .

The following fundamental lemmas were proved by Siegel [8].

LEMMA 1. If $\gamma_1, \gamma_2 \in \Gamma$, $\gamma_1 \neq \gamma_2$ and T' is sufficiently large, then

$$B_{\gamma_1} \cap B_{\gamma_2} = \phi.$$

LEMMA 2. If $(x_1, \dots, x_n) \in S$, then there exist an integer $\alpha \in \mathfrak{o}$ and $\beta \in \mathfrak{d}^{-1}$ satisfying the following conditions:

$$(i) \quad \|\alpha \xi - \beta\| < b^{-1},$$

$$(ii) \quad t < \|\alpha\| \leq b,$$

$$(iii) \quad \max(b |\alpha^{(q)} \xi^{(q)} - \beta^{(q)}|, |\alpha^{(q)}|) \geq D^{-\frac{1}{2}} \quad (1 \leq q \leq n)$$

$$(iv) \quad N((\alpha, \beta \mathfrak{d})) \leq D^{\frac{1}{2}}.$$

We write

$$L(\xi) = \sum_{\lambda \in \Omega(T)} E(\lambda^k \xi), \quad \xi = \rho_1 x_1 + \dots + \rho_n x_n.$$

where $\lambda = \omega_1 z_1 + \dots + \omega_n z_n \in \mathfrak{o}$ runs over all integers restricted as

$$0 \leq \lambda^{(\ell)} \leq T \quad (1 \leq \ell \leq n_1)$$

$$|\lambda^{(m)}| \leq T \quad (n_1 + 1 \leq m \leq n_1 + n_2)$$

and in this case we use the notation as $\lambda \in \Omega(T)$.

Then the number of solutions of

$$\lambda_1^k + \lambda_2^k + \dots + \lambda_s^k = \nu, \quad \lambda_j, \nu \in \mathfrak{o}$$

is expressible by the integral

$$I(\nu) = \int \dots \int_U L(\xi)^s E(-\nu \xi) dx.$$

From Lemma 1 of Siegel we divide the integral as follows:

$$I(\nu) = \sum_{\gamma \in \Gamma} \int_{B_\gamma} \dots \int + \int \dots \int_S.$$

We denote by $P(T)$ the set of (x_1, \dots, x_n) or η satisfying

$$0 \leq \eta^{(\ell)} \leq T \quad (1 \leq \ell \leq n_1)$$

$$|\eta^{(m)}| \leq T \quad (n_1 + 1 \leq m \leq n_1 + n_2)$$

and in this case we use the same notation as $\eta \in P(T)$.

THEOREM 2. We write

$$J(\mu) = \int_X \dots \int E(-\mu \xi) \left(\int_P \dots \int E(\xi \eta^k) dy \right)^S dx$$

where $P = P(1)$ and $\mu \neq 0$, $\mu \in P(1)$.

By means of Fourier transformation, we have

$$J(\mu) = |D| \prod_{\ell=1}^{r_1} F(\mu^{(\ell)})^{n_1 + n_2} \prod_{m=n_1+1}^{n_1 + n_2} H(\mu^{(m)}) \quad (s > k)$$

where

$$F(\mu^{(\ell)}) = \int_{D_\ell} \dots \int \prod_{j=1}^s (k^{-1} u_j^{1/k} - 1) du_1 \dots du_{s-1}, \quad u_s = \mu^{(\ell)} - (u_1 + \dots + u_{s-1}),$$

D_ℓ being the closed region determined by

$$0 \leq u_j \leq 1 \quad (1 \leq j \leq s).$$

We have

$$F(\mu^{(\ell)}) = \frac{\Gamma(1 + 1/k)^s}{\Gamma(s/k)} (\mu^{(\ell)})^{s/k - 1}$$

Furthermore

$$H(\mu^{(m)}) = k^{-s} \int_{D_m} \dots \int \prod_{j=1}^s (k^{-1} u_j^{1/k} - 1) du_1 \dots du_{s-1} d^{\varphi_1} \dots d^{\varphi_{s-1}}$$

$$u_s = |\mu^{(m)} - (u_1^{1/2} e^{i\varphi_1} + \dots + u_{s-1}^{1/2} e^{i\varphi_{s-1}})|^2$$

D_m being the closed region determined by

$$0 \leq u_j \leq 1 \quad (1 \leq j \leq s), \quad -\pi \leq \varphi_j \leq \pi \quad (1 \leq j \leq s-1).$$

Proof: See Tatzuza [12], Siegel [8].

For the basic domain we have the following

THEOREM 3. (Tatzuza [12], Siegel [8]). *If $s \geq 4nk$, then*

$$\sum_{\gamma \in \Gamma} \int_B \dots \int_B L(\xi)^s E(-\nu \xi) dx = \mathcal{O}(\nu) J(\mu) T^{n(s-k)} + o(T^{n(s-k)-\frac{1}{4}}),$$

provided

$$\nu \in \Omega(T^k) \quad \text{and} \quad \mu = \nu T^{-k}.$$

This is one of the fundamental theorems.

§3. Estimations of some trigonometrical sums.

Let T' be a sufficiently large integer. We denote by $k \geq c$ a fixed integer and write $P = [T', 1/k]$, $X_0 = [P]$. Furthermore we write

$$(1) \quad Y_0 = [\sqrt{X_0}], \quad X_b = [X_0^{\delta^b}], \quad Y_b = [\sqrt{X_b}] \quad (1 \leq b \leq s_1),$$

$$\|\sigma_b\| < Y_b,$$

where

$$(2) \quad s_1 > 2kn, \quad \delta = \frac{1 - s_0/2}{k - \frac{1}{2}}, \quad s_0 = [\frac{1}{2} \log k].$$

Let

$$(3) \quad r = 2r_0, \quad r_0 \geq \frac{1}{2} (1 + 1/(n-1)) k(k+1) + \tau k - 1,$$

where $\tau \geq 0$ is a natural number.

We call an integer $\omega \in \alpha$ a prime number, if the principal ideal (ω) is a prime ideal.

Let $P = P(R, c)$ be the set of total positive prime numbers such that

$$(4) \quad \begin{aligned} \frac{1}{2} cR &\leq |\omega^{(\ell)}| \leq cR & (1 \leq \ell \leq n) \\ |\arg \omega^{(m)}| &\leq \frac{1}{4} \pi / R & (n_1 + 1 \leq m \leq n_1 + n_2). \end{aligned}$$

We denote by $P^*(R, c)$ the number of elements of the set $P(R, c)$. By Mitsui [5] we have

$$\text{LEMMA 3.} \quad 1 \ll P^*(R, c) R^{-n} \log R \ll 1$$

Let $Q(s_1, X_0)$ be the set of $\mu \in \alpha$ such that

$$\begin{aligned} \mu &= (X_0 + \sigma_1)^k + \dots + (X_{s_1} + \sigma_{s_1})^k \\ 0 &< |\sigma_i| < Y_i & (1 \leq i \leq s_1). \end{aligned}$$

We denote by $Q^*(s_1, X_0)$ the number of elements of the set $Q(s_1, X_0)$, and we put

$$Q' = Q^*(s_1, X_0) P^*(R, c).$$

The purpose of this section is to estimate the following trigonometrical sum $R(\xi)$ in the supplementary domain $S(t, b) \ni \xi$.

THEOREM 5. We shall define a trigonometrical sum

$$R(\xi) = \sum_{\mu \in Q(s_1, X_0)} \sum_{\omega \in P(R, c)} E(\mu \omega^k \xi),$$

where $\xi \in S(t, b)$ and the right-hand side is taken over all integers μ and prime numbers such that

$$\mu \in Q(s_1, X_0) \quad , \quad \omega \in P(R, c) .$$

Then we have

$$R(\xi) \ll Q' X_0^\rho \quad ,$$

where

$$\rho = \frac{1}{2r_0} \left((k - \frac{1}{2}) \delta^{s_1} + \frac{1}{2}(s_0 + 1)(1 - 1/s_0)^\tau (1 - \delta^{s_1})(k - 2) - \frac{1}{2}(b/n) \right), \quad n < 0.$$

LEMMA 4. Let $k \geq c$ and λ_i, μ_i ($1 \leq i \leq s$) be totally non-negative integers in K such that $\lambda_i, \mu_i \in \Omega(Q)$ and $N_s(Q)$ be the number of the solutions of which satisfy the system of Diophantine equations

$$(5) \quad \left\{ \begin{array}{l} \lambda_1 + \dots + \lambda_{s-1} + \lambda_s - \mu_1 - \dots - \mu_s = 0 \\ \lambda_1^2 + \dots + \lambda_s^2 - \mu_1^2 - \dots - \mu_s^2 = 0 \\ \dots \dots \dots \\ \lambda_1^k + \dots + \lambda_s^k - \mu_1^k - \dots - \mu_s^k = 0 \end{array} \right.$$

Let θ be a positive number and R be a sufficiently large real number such that

$$0 < \theta \leq 1 \quad , \quad R > \text{Max} (k , 2^n \theta^{-1})^{1/k} \quad ,$$

and

$$(6) \quad (2R) \quad nk \left(1 + \frac{1}{k-1} \right)^{\tau-1} < Q^n \quad ,$$

$$s \geq \frac{n}{n-1} k(k+1) + \tau k - 1$$

where τ is a natural number .

Then we have

$$N_s(Q) \leq c(k, \tau, s, n, K) Q^{2sn - \frac{1}{2}k(k+1)n + \frac{1}{2}k(k+1)(1-1/k)^\tau n} .$$

Proof. (Eda [1]). Let \mathfrak{p}_ν be a prime ideal of K . Then we obtain an integer π_ν with the conditions that $\pi_\nu \in \mathfrak{p}_\nu$, $\pi_\nu \notin \mathfrak{p}_\nu^2$ (in this case we write briefly $\mathfrak{p}_\nu \parallel \pi_\nu$) and

$$(7) \quad \begin{cases} c_1 \sqrt[n]{N\mathfrak{p}} \leq |\pi_\nu^{(j)}| \leq c_2 \sqrt[n]{N\mathfrak{p}} & (1 \leq j \leq n) \quad \text{and} \\ N\mathfrak{p}_\nu > k^n & (1 \leq \nu \leq \tau) \end{cases}$$

Let P_ν ($1 \leq \nu \leq \tau$) be positive real numbers such that

$$(8) \quad \begin{cases} c P_\nu^{1/k} > R, & N\mathfrak{p}_\nu \cdot P_\nu > P_{\nu-1} \\ Q^{n(1-1/k)^\nu} \leq P_\nu \leq c_1(n, \nu) Q^{n(1-1/k)^\nu} \\ P_\nu = Q^n & (1 \leq \nu \leq \tau) \end{cases}$$

We put in (5)

$$\lambda_i = \varphi_i + \xi_i \pi_1, \quad \mu_i = \psi_i + \eta_i \pi_1,$$

$$\varphi_i, \psi_i \in \Omega(c_1 \pi_1) \quad (1 \leq i \leq s),$$

where φ_i and ψ_i run over separately through a reduced system mod \mathfrak{p}_ν and are decided uniquely by λ_i and μ_i , respectively. Thus we obtain

$$(9) \quad (\varphi_1 + \xi_1 \pi_1)^j + \dots + (\varphi_s + \xi_s \pi_1)^j = (\psi_1 + \eta_1 \pi_1)^j + \dots + (\psi_s + \eta_s \pi_1)^j$$

$$(1 \leq j \leq k)$$

$$\varphi_i + \xi_1 \pi_1, \psi_i + \eta_1 \pi_1 \in \Omega(Q) \quad (1 \leq i \leq s).$$

We shall put the system

$$\{\varphi_1, \dots, \varphi_s\}, \quad \{\psi_1, \dots, \psi_s\}$$

in the first class if we can find k distinct φ_i, ψ_i . All the remaining systems

$\{\varphi_1, \dots, \varphi_s\}, \{\psi_1, \dots, \psi_s\}$ will be placed in the second class.

Let $N_s^{(1)}(P)$ be the number of solutions of the system of equations (9) for $\{\varphi_1, \dots, \varphi_s\}$ and $\{\psi_1, \dots, \psi_s\}$ belong to the first class, and let $N_s^{(2)}(P)$ be the number of solutions if (9) for either $\{\varphi_1, \dots, \varphi_s\}$ or $\{\psi_1, \dots, \psi_s\}$ belonging to the second class. By (8) we have

$$(10) \quad N_s(P) \leq N_s(N_{P_i} P_I) = N_s^{(1)}(N_{P_i} P_I) + N_s^{(2)}(N_{P_i} P_I).$$

Furthermore we have

$$N_s^{(1)} \leq \binom{s}{k}^2 k! c^{k^2 n} P_I^{2k} N_{P_i}^{2s - \frac{1}{2}k(k+1)} N_{s-k}(P_I)$$

and

$$N_s^{(2)} \leq 2k^s P_I^{2k} N_{P_i}^{s-k-1} N_{s-k}(P_I).$$

From the assumption (6) we obtain

$$\binom{s}{k}^2 k! > 2k^{2k} \quad (k \geq 2)$$

and

$$k^{s-2k} < N_{P_i}^{s - \frac{1}{2}k(k+1) - k + 1}$$

and from these results we have

$$(11) \quad 2k^s N_{P_i}^{s+k-1} < \binom{s}{k}^2 k! c^{k^2 n} N_{P_i}^{2s - \frac{1}{2}k(k+1)} \quad (c > 1)$$

Thus from (10) and (11) we obtain

$$N_s(P_O) \leq 2c^{k^2 n} \binom{s}{k}^2 k! P_I^{2k} N_{P_i}^{2s - \frac{1}{2}k(k+1)} N_{s-k}(P_I)$$

and therefore we write this inequality as follows :

$$N_s(P_O) = D_1 P_I^{2k} N_{P_i}^{2s - \frac{1}{2}k(k+1)} N_{s-k}(P_I),$$

$$D_1 = c^k \binom{2n}{k}^2 k! \quad (c > 2)$$

Applying this inequality τ times, we have

$$N_S(P) \leq D_1 \dots D_\tau (P_1 \dots P_\tau)^{2k} (N_{p_1} \dots N_{p_\tau})^{2s - \frac{1}{2}k(k+1)} \\ (N_{p_1} \dots N_{p_\tau})^{\tau-1 - 2k} P_\tau^{2(s-\tau k)}$$

From the definition D_i and the conditions (7) and (8) we obtain our lemma.

We denote by $M(T) = M(T, u, u', u'')$ the set of vectors (z_1, \dots, z_n) or integers $\lambda = \omega_1 z_1 + \dots + \omega_n z_n$ satisfying the following conditions

$$\begin{cases} u \leq \lambda^{(\ell)} < u' + T & \\ u' \leq Re \lambda^{(m)} < u' + T & (1 \leq \ell \leq n_1) \\ u'' \leq Im \lambda^{(m)} < u'' + T & (n_1 + 1 \leq m \leq n_1 + n_2) \end{cases}$$

LEMMA 5. We put

$$(12) \quad B_r = X_0^{k-1} z_1 + \dots + X_0^{k-r} z_r \quad (1 \leq r \leq k)$$

where

$$(13) \quad \|z_i\| \leq c_i Y_0^i \quad (1 \leq i \leq r)$$

and c_i does not depend on Y_0 .

We denote by $n(B_r) = n(z, B_r, X_0, Y_0)$ the number of the integral solutions $z = (z_1, \dots, z_r)$ of (12) and (13) for a fixed B_r .

Then we have

$$n(B_r) \ll Y_0^{\left(\frac{1}{2}r(r+1) - 1\right)n - rn + n} X_0$$

Proof. If $r=1$, then $B_1 = X_0^{k-1} z_1$. The number of z_1 is trivially esti-

ated : $\ll 1$. We use induction and suppose that our result is true for

$1 \leq r \leq \ell - 1$. We then deduce from this assumption our result for $r = \ell$.

If we have two solutions (z_1, \dots, z_ℓ) and (z'_1, \dots, z'_ℓ) satisfying the relations (12) and (13), then

$$B_\ell = X_o^{k-1} z_1 + \dots + X_o^{k-\ell} z_\ell = B_{\ell-1} + X_o^{k-\ell} z_\ell = X_o^{k-1} z'_1 + \dots + X_o^{k-\ell} z'_\ell = B'_{\ell-1} + X_o^{k-\ell} z'_\ell.$$

From this we obtain

$$B_{\ell-1} - B'_{\ell-1} = X_o^{k-\ell} (z'_\ell - z_\ell) \ll X_o^{k - \frac{1}{2}\ell}$$

Thus

$$B_{\ell-1} - B'_{\ell-1} \in M(O(X_o^{k - \frac{1}{2}\ell})).$$

For fixed $B_{\ell-1}$, we have that the number of solutions of $z_1, \dots, z_{\ell-1}$ is

$$Y_o^{(\frac{1}{2}(\ell-1)\ell - 1)n} X_o^{-(\ell-1)n-n}.$$

But the solutions of $B_{\ell-1}$ is

$$(X_o^{k-\ell} Y_o^\ell)^n / X_o^{(k-(\ell-1))n}.$$

Thus the number of solutions z_1, \dots, z_ℓ :

$$n(B_r) \ll \left(\frac{X_o^{k-\ell} Y_o^\ell}{X_o^{k-(\ell-1)}} \right)^n Y_o^{(\frac{1}{2}(\ell-1)\ell - 1)n} X_o^{-(\ell-1)n+n}.$$

$$\ll Y_o^{(\frac{1}{2}\ell(\ell-1) - 1)n} X_o^{-\ell n + n}.$$

LEMMA 6. Let $\tau \geq 1$, r, r_0, r_1, ℓ be positive rational integers satisfying the conditions :

$$r_0 \geq \ell(\ell-1) + \tau\ell - 1, \quad k > r = 2r_0 = 4r_1.$$

We define a sum of the following form :

$$A = (X + \sigma_1)^k + \dots + (X + \sigma_{r_0})^k - (X + \sigma_{r_0+1})^k - \dots - (X + \sigma_r)^k$$

$$\|\sigma_i\| < Y = [\sqrt{X}] \quad (1 \leq i \leq r)$$

We denote by $n(A)$ the number of the systems of solutions of $(\sigma_1, \dots, \sigma_r)$ such that

$$A \in M(0(X^{k-\frac{1}{2}(\ell+1)}))$$

then we have

$$n(A) \ll Y^{r + \frac{1}{2}\ell(\ell-1)(1-1/\ell)n - \frac{1}{2}\ell n}$$

Proof. By the definition of A , we have

$$A = B_\ell + C_\ell$$

$$B_\ell = X^{k-1}U_1 + \dots + X^{k-\ell}U_\ell, \quad C_\ell = X^{k-\ell-1}U_{\ell+1} + \dots + XU_{k-1} + U_k$$

where

$$U_i = \binom{k}{i} (\sigma_1^i + \dots + \sigma_{r_0}^i - \sigma_{r_0+1}^i - \dots - \sigma_r^i) \quad (1 \leq i \leq k).$$

Therefore we have

$$\|U_i\| \leq r k^i Y^i \quad (1 \leq i \leq k)$$

From this result and the definition of C_ℓ follows

$$C_\ell \ll X^{k-\frac{1}{2}(\ell+1)}$$

Then by the assumption of B_ℓ we have

$$B_\ell \ll X^{k-1} U_1 + \dots + X^{k-\ell} U_\ell \in M(O(X^{k-\frac{1}{2}(\ell+1)})).$$

Thus, applying Lemma 5 we obtain the number of $\{U_1, \dots, U_\ell\}$ is

$$Y^{(\frac{1}{2}\ell(\ell+1)-1)n} X_o^{-\ell n-n}$$

and the number of $B_\ell \in M(O(X^{k-\frac{1}{2}(\ell+1)}))$ is

$$(X^{k-\frac{1}{2}(\ell+1)} / X^{k-\ell})^n$$

Hence the number of U_1, \dots, U_ℓ is

$$\begin{aligned} &\ll (X^{k-\frac{1}{2}(\ell+1)} / X^{k-\ell})^n Y^{(\frac{1}{2}\ell(\ell+1)-1)n} X_o^{-\ell n-n} \\ &\ll Y^{\frac{1}{2}\ell(\ell+1)n} X^{-\frac{1}{2}\ell n} \end{aligned}$$

Now, if we put

$$U_1 = z_1, \dots, U_\ell = z_\ell,$$

then applying Lemma 4, the number of $\{\sigma_1, \dots, \sigma_r\}$ is

$$\ll Y^{nr-\frac{1}{2}\ell(\ell+1)n+\frac{1}{2}\ell(\ell+1)(1-1/\ell)n} \tau^n$$

Hence we finally obtain the number $N(A)$ of $\{\sigma_1, \dots, \sigma_r\}$ with our conditions

$$\begin{aligned} &\ll Y^{nr-\frac{1}{2}\ell(\ell+1)n+\frac{1}{2}\ell(\ell+1)(1-1/\ell)n} \tau^n \cdot Y^{\frac{1}{2}\ell(\ell+1)n} X^{-\frac{1}{2}\ell n} \\ &\ll Y^{nr+\frac{1}{2}\ell(\ell+1)(1-1/\ell)n} X^{-\frac{1}{2}\ell n} \tau^n \end{aligned}$$

Thus we complete the proof of our Lemma.

Now we write

$$A_b = (X_b + \sigma_{b,1})^k + \dots + (X_b + \sigma_{b,r_0})^k - (X_b + \sigma_{b,r_0+1})^k - \dots - (X_b + \sigma_{b,2r_0})^k$$

where

$$\|\sigma_{b,i}\| < Y_b \quad (0 \leq b \leq s_1 - 1, \quad 1 \leq i \leq r) .$$

Then we have

$$A_b = X_b^{k-1} U_{b,1} + \dots + X_b U_{b,k-1} + U_{b,k}$$

where

$$U_{b,j} = \binom{k}{j} \eta_{k,j}, \quad \|U_{b,j}\| \leq r k^i Y_b^j,$$

$$\eta_{k,j} = \sigma_{b,j}^j + \dots + \sigma_{b,r_0}^j - \sigma_{b,r_0+1}^j - \dots - \sigma_{b,2r_0}^j$$

$$(1 \leq j \leq k) \quad , \quad (0 \leq b \leq s_1 - 1)$$

Further we put

$$W = A_0 + A_1 + \dots + A_{s_1-1} \quad (s_1 > 2kn)$$

Using the notations above, we prove the following

LEMMA 7. Let $n(W)$ be the number of the solutions $(\sigma_{0,1}, \dots, \sigma_{s_1-1, 2r_0})$ of the relations

$$W \in M(0, (X_{s_1-1}^{k-\frac{1}{2}(s_0+1)})) ,$$

then we have

$$n(W) \ll (Y_0 Y_1 \dots Y_{s_1-1})^{rn + \frac{1}{2}s_0(s_0+1)(1-1/s_0)^r} n X_0^{-(k-\frac{1}{2})(1-\delta^{s_1})n}$$

$$\ll (Y_0 Y_1 \dots Y_{s_1-1})^{rn} X_0^\omega$$

where

$$\omega = \frac{1}{2}(s_o + 1)(1 - 1/s_o)^{\tau} (1 - \delta^1)^{(k - \frac{1}{2})n - (k - \frac{1}{2})} (1 - \delta^1)^{s_1} n .$$

Proof. By the definition of W we have for $b = 2, \dots, s_1 - 1$

$$\begin{aligned} A_b &= X_b^{k-1} U_{b,1} + \dots + U_{b,k} \\ &\ll X_b^{k-1} Y_b + \dots + Y_b^k \\ (14) \quad &\ll X_{b-1}^{k-\frac{1}{2}(s_o+1)} \ll X_o^{k-\frac{1}{2}(s_o+1)} . \end{aligned}$$

From these inequalities and the assumption of ours we have

$$A_o = X_o^{k-1} U_{o,1} + \dots + X_o U_{o,k-1} + U_{o,k} \in M(0 (X_o^{k-\frac{1}{2}(s_o+1)})) .$$

Now the number of solutions of

$$\{ U_{o,1}, \dots, U_{o,k-1}, U_{o,k} \}$$

is given by the number n_o of solutions of $\{ \sigma_{o,1}, \dots, \sigma_{o,2r_o} \}$. Since $r_o \geq s_o (s_o + 1) + \tau s_o - 1$, we have by Lemma 6

$$n_o \ll Y_o^{m + \frac{1}{2} s_o (s_o + 1) (1 - 1/s_o)^{\tau} n - \frac{1}{2} s_o n} X_o$$

From (14) and the assumption of Lemma 7, we have

$$W \in M(0 (X_1^{k-\frac{1}{2}(s_o+1)})) .$$

Taking the fixed solution $\{ \sigma_{o,1}, \dots, \sigma_{o,k} \}$, The number n_1 of solutions $\{ \sigma_{1,1}, \dots, \sigma_{1,s_o} \}$ of

$$A_1 \in M(0 (X_1^{k-\frac{1}{2}(s_o+1)}))$$

is given by

$$n_1 \ll Y_1^{m + \frac{1}{2} s_0 (s_0 + 1) (1 - 1/s_0)^{\tau} n} X_1^{-\frac{1}{2} s_0 n}.$$

Iterating this process, we have finally, by the definition of X_i and δ

$$\begin{aligned} n(W) &\ll (Y_0 Y_1 \dots Y_{s-1})^{m + \frac{1}{2} s_0 (s_0 + 1) (1 - 1/s_0)^{\tau} n} X_0^{-\frac{1}{2} s_0 n} \dots X_{s_1-1}^{-\frac{1}{2} s_0 n} \\ &\ll (Y_0 Y_1 \dots Y_{s_1-1})^{m + \frac{1}{2} s_0 (s_0 + 1) (1 - 1/s_0)^{\tau} n} X_0^{-(1 - \delta^{s_1})(k - \frac{1}{2}) n} \end{aligned}$$

which is the desired result.

For $\xi = \rho_1 x_1 + \dots + \rho_n x_n$, we write $S(\xi \omega_i) = a_i + d_i$ with rational integers a_i and $-\frac{1}{2} \leq d_i < \frac{1}{2}$ ($1 \leq i \leq n$) and put

$$d = d(\xi) = \sum_{i=1}^n a_i \rho_i, \quad \zeta = \zeta(\xi) = \sum_{i=1}^n d_i \rho_i$$

where $d^{-1} = [\rho_1, \dots, \rho_n]$ and $\alpha = [\omega_1, \dots, \omega_n]$. We obtain

$$(15) \quad \xi = d + \zeta, \quad d^{-1} | \alpha.$$

LEMMA 8. We take a point $\xi = (x_1, \dots, x_n)$ of $S(t_0, b_0)$ which is defined by the Farey division with respect to (t, b) . We obtain a pair α, β of Lemma 2 with $1 | \alpha, d^{-1} | \beta$.

Let g_1, \dots, g_n be integers. $\Phi^{(i)}$ ($1 \leq i \leq n$) be positive real numbers with $\Phi^{(m)} = \Phi^{(m-r_2)}$ ($r_1 + 1 \leq m \leq r_1 + r_2$) and ω_0 be a prime number of $P(R, C)$.

Let $Z(g, \Phi)$ be the number of prime numbers ω of $P(R, c)$ with the conditions :

$$\left\{ \begin{array}{l} |\zeta^{(i)}| = \Phi^{(i)} \quad (1 \leq i \leq n) \\ g_m \leq 4D^{1/n} |\alpha^{(m)}| \operatorname{Re} \zeta^{(m)} < g_m + 1 \quad (1 \leq m \leq n_1 + n_2) \\ g_m \leq 4D^{1/n} |\alpha^{(m)}| \operatorname{Im} \zeta^{(m)} < g_m + 1 \quad (r_1 + n_2 + 1 \leq m \leq n) \end{array} \right.$$

where

$$\zeta = \zeta(\xi(\omega^k - \omega_o^k)) .$$

Then we have

$$Z(g, \Phi) \ll |N(\alpha)|^\Delta \prod_{i \in J_1} (b_o \Phi^{(i)} R^{1-k}) \prod_{i \in J_2} (R |\alpha^{(i)}|^{-1}) ,$$

where J_1 is the set of the indices i ($1 \leq i \leq n$) with $|\alpha^{(i)}| < D^{-\frac{1}{2}}$ and J_2 is the set of other indices .

Proof. Hilfssatz 11 of Körner [4] .

By the classification based upon the Farey division with respect to (t_o, b_o) , we shall prove the Theorem 5 (Vinogradov [14]) .

Case 1. $\xi \in S(t, b) \cap S(t_o, b_o)$.

By Hölder's inequality we have

$$\begin{aligned} |R(\xi)|^{r_o} &= |R(\xi)|^{2r_1} = \left| \sum_{\mu \in Q(s_1, X_o)} \sum_{\omega \in P(R, c)} E(\mu \omega^k \xi) \right|^{2r_1} \\ &\leq \sum_{\omega \in P} \left| \sum_{\mu \in Q} E(\mu \omega^k \xi) \right|^{2r_1} \\ &\ll R^{(r_o-1)n} \sum_{\omega} \left| \sum_{\mu} E(\mu \omega^k \xi) \right|^{2r_1} \\ (16) \quad &\ll R^{(r_o-1)n} \sum_{\omega} \left| \sum_{\mu, \mu' \in Q} E(\xi \omega^k (\mu - \mu')) \right|^{r_1} = R^{(r_o-1)n} V , \end{aligned}$$

where

$$\begin{aligned}
 V &= V(\xi) = \sum_{\omega \in P} \left| \sum_{\mu, \mu' \in Q} E(\xi \omega^k (\mu - \mu')) \right|^{r_1} \\
 &= \sum_{\omega \in P} \sum_{\substack{\mu_1, \dots, \mu_{r_1} \\ \mu'_1, \dots, \mu'_{r_1}}} E(\xi \omega^k (\mu_1 + \dots + \mu_{r_1} - \mu'_1 - \dots - \mu'_{r_1}))
 \end{aligned}$$

For fixed integral χ we put

$$(17) \quad \chi = \mu_1 + \dots + \mu_{r_1} - \mu'_1 - \dots - \mu'_{r_1} \quad \mu, \mu' \in Q.$$

Let $\Delta(\chi)$ the number of solutions $\{\mu_1, \dots, \mu_{r_1}, \mu'_1, \dots, \mu'_{r_1}\}$ of the above equation (17), then we have .

$$V(\xi) = \sum_{\omega} \sum_{\chi} \Delta(\chi) E(\xi \omega^k \chi) = \sum_{\chi} \Delta(\chi) \sum_{\omega} E(\xi \omega^k \chi).$$

Thus

$$\begin{aligned}
 V^2 &\leq |V|^2 = \left| \Delta(\chi) \sum_{\omega} E(\xi \omega^k \chi) \right|^2 \\
 &\ll \left(\sum_{\chi} \Delta^2(\chi) \right) \left(\sum_{\chi} \left| \sum_{\omega} E(\xi \omega^k \chi) \right|^2 \right) \\
 (18) \quad &= K \sum_{\omega, \omega_0 \in P} \sum_{\chi \in M(X_0^{k-\frac{1}{2}})} E(\xi \chi (\omega^k - \omega_0^k)).
 \end{aligned}$$

Now we quote the well-known lemma (Hilfssatz 8 of Körner [4]).

LEMMA 9. We have

$$\sum_{\lambda \in M(T)} E(\lambda \xi) \ll T^{n-1} \text{Min}(T, \|\zeta\|^{-1})$$

where $\zeta = \zeta(\xi)$.

We apply this lemma to the inner sum of (18) we have

$$\begin{aligned}
 V^2 &\ll K \sum_{\omega, \omega_0 \in P} X_0^{(k-\frac{1}{2})(n-1)} \text{Min}(X_0^{k-\frac{1}{2}}, \|\zeta(\omega^k - \omega_0^k) \xi\|^{-1}) \\
 &= K X_0^{(k-\frac{1}{2})(n-1)} \sum_{\omega_0} \sum_{\omega} \text{Min}(X_0^{k-\frac{1}{2}}, \|\zeta(\omega^k - \omega_0^k) \xi\|^{-1}) \\
 (19) \quad &= K X_0^{(k-\frac{1}{2})(n-1)} \sum_{\omega_0} R(\xi, \omega_0),
 \end{aligned}$$

where

$$\begin{aligned}
 R(\xi, \omega_0) &= \sum_{\omega} \text{Min}(X_0^{k-\frac{1}{2}}, \|\zeta(\omega^k - \omega_0^k) \xi\|^{-1}) \\
 &\leq \sum_{\omega} \text{Min}(X_0^{k-\frac{1}{2}}, \|\zeta\|^{-1}) + \sum_{\omega} \text{Min}(X_0^{k-\frac{1}{2}}, \|\zeta\|^{-1}) \\
 &\quad \|\zeta\| > X_0^{b-(k-\frac{1}{2})} \quad \|\zeta\| \leq X_0^{b-(k-\frac{1}{2})} \\
 &= \sum_{\omega} X_0^{k-\frac{1}{2}-b} + \sum_{\omega} X_0^{k-\frac{1}{2}} \\
 &\quad \|\zeta\| > X_0^{b-(k-\frac{1}{2})} \quad \|\zeta\| \leq X_0^{b-(k-\frac{1}{2})} \\
 (20) \quad &= S_1 + S_2.
 \end{aligned}$$

By Lemma 3, we have

$$\begin{aligned}
 (21) \quad S_1 &= \sum_{\omega} X_0^{k-\frac{1}{2}-b} \ll X_0^{k-\frac{1}{2}-b} \frac{R^n}{\log R} \ll R^n X_0^{k-\frac{1}{2}-b} \\
 &\quad \|\zeta\| > X_0^{b-(k-\frac{1}{2})}
 \end{aligned}$$

We apply Lemma 8 with

$$(22) \quad \Phi^{(i)} = X_0^{b-(k-\frac{1}{2})}$$

to estimate S_2 . Let Z_1 be the number of all distinct integral n-tuples

$$g = (g_1, \dots, g_n).$$

If $l \in J_1$, then, by (22) and Lemma 8, we have $\prod_{l \in J_1} \ll 1$. Hence we have

$$Z_1 \ll \prod_{i \in J_1} \prod_{i \in J_2} \ll \prod_{i \in J_2} (|\alpha^{(i)}| X_o^{b-(k-\frac{1}{2})} - 1).$$

Thus, by these inequalities

$$\begin{aligned} S_2 &= \sum_{\omega \in P} X_o^{k-\frac{1}{2}} \leq X_o^{k-\frac{1}{2}} Z_1 \text{Max } Z(g, X_o^{b-(k-\frac{1}{2})}) \\ &\ll X_o^{k-\frac{1}{2}} |N(\alpha)|^{\varepsilon'} \left(\prod_{i \in J_2} |\alpha^{(i)}| X_o^{b-(k-\frac{1}{2})} + 1 \right) \left(\prod_{i \in J_1} (b_o X_o^{b-(k-\frac{1}{2})} R^{1-n}) \right) \\ &\quad \prod_{i \in J_2} (R |\alpha^{(i)}|^{-1} + 1) \\ &\ll X_o^{k-\frac{1}{2}+\epsilon} + X_o^{k-\frac{1}{2}+\epsilon} (b_o X_o^{b-(k-\frac{1}{2})})^n \\ &\quad + X_o^{k-\frac{1}{2}+\epsilon} \prod_{i \in J_1} (b_o X_o^{b-(k-\frac{1}{2})} R^{1-n}) \prod_{i \in J_2} (R |\alpha^{(i)}|^{-1}) \\ &\quad + X_o^{k-\frac{1}{2}+\epsilon} \prod_{i \in J_2} (|\alpha^{(i)}| X_o^{b-(k-\frac{1}{2})}) \prod_{i \in J_1} (b_o X_o^{b-(k-\frac{1}{2})}) \prod_{i \in J_2} (R |\alpha^{(i)}|^{-1}) \\ (23) \quad &= S_{11} + S_{o1} + S_{1o} + S_{oo}. \end{aligned}$$

We can see easily

$$(24) \quad S_{11} = X_o^{k-\frac{1}{2}+\epsilon} \ll R^n X_o^{k-\frac{1}{2}-3/4}$$

Next we have

$$\begin{aligned}
 (25) \quad S_{01} &= X_o^{k-\frac{1}{2}+\epsilon} (b_o X_o^{b-(k-\frac{1}{2})})^n = R^n X_o^{k-\frac{1}{2}+\epsilon(b-(k-\frac{1}{2}))n} R^{(k-(n-1)b+\epsilon)n-n} \\
 &= R^n X_o^{k-\frac{1}{2}-b+(1-\frac{1}{2}-1/(2\sqrt{k}))(k-1+(n-1)b)n+\epsilon} = R^n X_o^{k-\frac{1}{2}-b-\frac{1}{2}n} \\
 &\qquad\qquad\qquad (k \geq 4).
 \end{aligned}$$

For the third sum, we have by the definition :

$$(26) \quad S_{10} = X_o^{k-\frac{1}{2}+\epsilon} \prod_{i \in J_1} (b_o X_o^{b-(k-\frac{1}{2})} R^{1-k}) \prod_{i \in J_2} (R \mid \alpha^{(i)-1} \mid) \\
 \qquad\qquad\qquad \mid \alpha^{(i)} \mid < D^{\frac{1}{2}} \qquad\qquad\qquad \mid \alpha^{(i)} \mid \geq D^{\frac{1}{2}}$$

If $J_1 \neq \phi$, we put $\#(J_1)$ the number of elements of J_1 . Then we have

$$\begin{aligned}
 S_{10} &= X_o^{k-\frac{1}{2}+\epsilon} R^n \prod_{i \in J_1} (b_o X_o^{b-(k-\frac{1}{2})} R^{-k}) \\
 &\ll R^n X_o^{k-\frac{1}{2}+\epsilon(b-(k-\frac{1}{2})+(1-1/(2\sqrt{k}))\#(J_1)+\epsilon)} \\
 (27) \quad &\ll R^n X_o^{k-\frac{1}{2}-\frac{1}{2}n}
 \end{aligned}$$

If $J_1 = \phi$, then we have

$$\begin{aligned}
 S_{01} &= X_o^{k-\frac{1}{2}+\epsilon} \prod_{i \in J_2} (R \mid \alpha^{(i)-1} \mid) = X_o^{k-\frac{1}{2}+\epsilon} R^n \prod_{i \in J_2} \mid \alpha^{(i)-1} \mid \\
 &\ll R^n X_o^{k-\frac{1}{2}+\epsilon-1} \ll R^n X_o^{k-\frac{1}{2}+\epsilon-b} \ll R^n X_o^{k-\frac{1}{2}-(1-1/(2\sqrt{k}))b+\epsilon} \\
 (28) \quad &\ll R^n X_o^{k-\frac{1}{2}-\frac{1}{2}b}
 \end{aligned}$$

Finally we have for S_{00} :

$$\begin{aligned}
S_{oo} &= X_o \prod_{i \in J_2} (|\alpha^{(i)}| X_o^{b-(k-\frac{1}{2})}) \prod_{i \in J_1} (b_o X_o^{b-(k-\frac{1}{2})} R^{1-k}) \cdot \prod_{i \in J_2} (R |\alpha^{(i)}|^{-1}) \\
&\ll R^n X_o^{k-\frac{1}{2}+\epsilon} \prod_{i \in J_2} X_o^{b-(k-\frac{1}{2})} \prod_{i \in J_1} (t_o^{n-1+\epsilon} X_o^{b-(k-\frac{1}{2})}) \\
(29) \quad &\ll R^n X_o^{k-\frac{1}{2}-\frac{1}{2}n} .
\end{aligned}$$

Thus from (23), (24), (25), (26), (27), (28) and (29)

$$\begin{aligned}
V^2 &\ll K X_o^{(k-\frac{1}{2})(n-1)} \sum_{\omega_o} (S_1 + S_2) \\
&\ll K X_o^{(k-\frac{1}{2})(n-1)} \sum_{\omega_o} (R^n X_o^{k-\frac{1}{2}-b} + S_{11} + S_{o1} + S_{1o} + S_{oo}) ,
\end{aligned}$$

where

$$(30) \quad S_2 \ll S_{oo} + S_{o1} + S_{1o} + S_{11} \ll R^n X_o^{k-\frac{1}{2}-\frac{1}{2}b} .$$

Hence we have by (19), (20), (21) and (30)

$$(31) \quad V^2 \ll K X_o^{(k-\frac{1}{2})(n-1)} R^n X_o^{k-\frac{1}{2}-\frac{1}{2}b} \ll K R^{2n} X_o^{(k-\frac{1}{2})n-\frac{1}{2}b} .$$

Now, by the definition of W we have

$$\begin{aligned}
W &= A_o + A_1 + \dots + A_{s_{1-1}} \\
&= \mu_1 - \dots - \mu_{r_1} - \tilde{\mu}_1 - \dots - \tilde{\mu}_{r_1} - \mu'_1 - \dots - \mu'_{r_1} - \tilde{\mu}'_1 - \dots - \tilde{\mu}'_{r_1}
\end{aligned}$$

where

$$\mu_i, \mu'_i, \mu''_i, \mu'''_i \in Q(s_1, X_0) \quad (1 \leq i \leq r_1)$$

Hence K is the number of solutions of $W = 0$ and then we can use the result of Lemma 7.

Therefore we have by (31)

$$\begin{aligned} V^2 &\ll (Y_0 Y_1 \dots Y_{s_1-1})^{2nr_0} \\ &\cdot X_0^{-(k-\frac{1}{2})(1-\delta^{s_1})n + \frac{1}{2}(s_0+1)(1-1/s_0)^\tau (1-\delta^{s_1})(k-\frac{1}{2})n} R^{2n} X_0^{(k-\frac{1}{2})n - \frac{1}{2}b} \\ &\ll (Y_0 Y_1 \dots Y_{s_1})^{2nr_0} R^{2n} X_0^{(k-\frac{1}{2})\delta^{s_1}n + \frac{1}{2}(s_0+1)(1-1/s_0)^\tau (1-\delta^{s_1})(k-\frac{1}{2})n - \frac{1}{2}b} \end{aligned}$$

Thus, by (16)

$$\begin{aligned} |R(\xi)|^{r_0} &\ll R^{(r_0-1)n} V \ll R^{(r_0-1)n} (Y_0 Y_1 \dots Y_{s_1-1})^{nr_0} R^n \\ &\cdot X_0^{\frac{1}{2}((k-\frac{1}{2})\delta^{s_1}n + \frac{1}{2}(s_0+1)(1-1/s_0)^\tau (1-\delta^{s_1})(k-\frac{1}{2})n - \frac{1}{2}b)} \\ &\ll (Y_0 \dots Y_{s_1-1} R)^{nr_0} X_0^{r_0 \rho} \end{aligned}$$

Finally we have

$$R(\xi) \ll (Y_0 Y_1 \dots Y_{s_1-1} R)^n X_0^\rho = Q' X_0^\rho,$$

where

$$Q' = Y_0 Y_1 \dots Y_{s_1-1} R$$

and

$$\rho = \frac{1}{2r_0} ((k-\frac{1}{2})\delta^{s_1}n + \frac{1}{2}(s_0+1)(1-1/s_0)^\tau (1-\delta^{s_1})(k-\frac{1}{2})n - \frac{1}{2}b).$$

Case 2. $\xi \in S(t, b) \cap B_\gamma(t_0, b_0)$ of some γ .

By the definition of $B_\gamma(t_0, b_0)$ there is for some γ_0 with $\gamma_0 \equiv \gamma \pmod{d^{-1}}$, $\gamma_0 \rightarrow \alpha$, $N(\alpha) \leq t_0^n$ and

$$(32) \quad b_0 \|\xi - \gamma_0\| \leq t_0^{n-1} N(\alpha)^{-1}$$

From $\xi \in S(t, b)$ and $t_0 = R^b < t = X_0^{1-f}$ ($1 < f \leq \frac{1}{4}$),

we have

$$(33) \quad \|\xi - \gamma_0\| > b^{-1} N_\alpha^{1/n}$$

and then we have

$$(34) \quad |R(\xi)|^{r_0} \ll R^{n(r_0-1)} V(\xi)$$

where

$$(35) \quad \begin{aligned} V(\xi) &= \sum_{\chi} \Delta(\chi) \sum_{\omega} E(\xi \omega^k \chi) = \sum_{\substack{\sigma \pmod{\alpha} \\ (\gamma_0 \rightarrow \alpha)}} \sum_{\chi} \Delta(\chi) \sum_{\omega \equiv \sigma \pmod{\alpha}} E(\xi \omega^k \chi) \\ &= \sum_{\sigma \pmod{\alpha}} V(\sigma, \xi), \end{aligned}$$

Hence

$$\begin{aligned} |V(\sigma, \xi)|^2 &= \left| \sum_{\chi} \Delta(\chi) \sum_{\omega \equiv \sigma \pmod{\alpha}} E(\xi \omega^k \chi) \right|^2 \\ &= \left| \sum_{\chi} \Delta(\chi) E(\chi \sigma^k \gamma_0) \sum_{\omega \equiv \sigma \pmod{\alpha}} E(\chi \omega^k \psi) \right|^2 \end{aligned}$$

where $\xi = \gamma_0 + \psi$.

Therefore we have from 8 and Schwarz's inequality

$$\begin{aligned}
 |V(\sigma, \xi)| &\leq \left\{ \sum_X |\Delta(X) E(X \sigma \gamma_o^k)| \sum_{\omega \equiv \sigma \pmod{\alpha}} E(X \omega^k \psi) \right\}^2 \\
 &\leq \sum_X \Delta(X)^2 \sum_{\omega, \omega_o \equiv \sigma \pmod{\alpha}} \sum_{\omega} E(X \omega^k \psi) \Big| \\
 &\ll K X_o^{(n-1)(k-\frac{1}{2})} \sum_{\omega_o \equiv \sigma \pmod{\alpha}} \left(\sum_{\omega \equiv \omega_o \pmod{\alpha}} \text{Min}(X_o^{k-\frac{1}{2}}, \|\zeta(\psi(\omega - \omega_o^k))\|^{-1}) \right) \\
 (36) \quad &= K X_o^{(n-1)(k-\frac{1}{2})} \sum_{\omega_o \equiv \sigma \pmod{\alpha}} R^*(\xi, \omega_o),
 \end{aligned}$$

where

$$(37) \quad R^*(\xi, \omega_o) = \sum_{\omega \equiv \omega_o \pmod{\alpha}} \text{Min}(X_o^{k-\frac{1}{2}}, \|\zeta(\psi(\omega - \omega_o^k))\|^{-1}).$$

Now, from (32) and (4) we have

$$\begin{aligned}
 |S(\psi(\omega^k - \omega_o^k) \tau_j)| &\ll |S(\xi - \gamma_o)(\omega^k - \omega_o^k) \tau_j| \\
 &\ll b_o^{-1} t_o^{n-1} N \alpha^{-1} R^k = 1/N \alpha \rightarrow 0 (X_o \rightarrow \infty)
 \end{aligned}$$

From this result and (15) and the conditions of ω , we have

$$(38) \quad \psi(\omega^k - \omega_o^k) = \zeta.$$

Let be $P_1 = P_1(R, c)$ the set of all prime numbers in $P(R, c)$ with the conditions

$$\omega \equiv \omega_0 \pmod{\alpha}$$

$$\min_{1 \leq \ell \leq n} |\omega^{(\ell)} - \omega_0^{(\ell)}| \geq N(\alpha)^{1/n}$$

and let be $P_2 = P_2(R, c)$ the set all prime numbers ω in P with $\omega \equiv \omega_0 \pmod{\alpha}$, $\omega \notin P_1$.

Let $P_1^*(R, c)$ be the number of elements of the set P_1 and let $P_2^*(R, c)$ be the number of elements of the set $P_2 = P - P_1$. Before the estimations of $P_1^*(R, c)$ and $P_2^*(R, c)$ we shall describe a lemma by Mitsui [6].

LEMMA 10. Let \mathfrak{f} be a fractional or integral ideal. We take positive numbers A_1, A_2, \dots, A_n such that $A_p = A_p$ ($n_1 + 1 \leq p \leq n_1 + n_2$) and α_p, β_p ($n_1 + 1 \leq p \leq n_1 + n_2$) such that

$$\beta_p < \alpha_p \leq 2\pi + \beta_p \quad (n_1 + 1 \leq p \leq n_1 + n_2).$$

We denote by $n(\mathfrak{f}, A, \alpha, \beta)$ the number of the elements v of \mathfrak{f} satisfying the conditions

$$0 < v^{(q)} = A_q \quad (1 \leq q \leq r_1)$$

$$|v^{(p)}| \leq A_p \quad (n_1 + 1 \leq p \leq n_1 + n_2)$$

$$\beta_p \leq \text{Arg } v^{(p)} \leq \alpha_p$$

Then we have

$$n(\mathfrak{f}, A, \alpha, \beta) = \frac{1}{DN(\mathfrak{f})} \prod_{j=1}^n A_j \prod_{p=\eta_1+1}^{n_1+n_2} (\alpha_p - \beta_p) + 0 \left(\frac{A_0^{n-1}}{N(\mathfrak{f})^{1-1/n}} \right)$$

where

$$A_o = \text{Max} (N^{1/n}, (A_1 A_2 \dots A_n)^{1/n}).$$

Now, If $\omega, \omega_o \in P_1$ then we have by the restriction on ω (4)

$$(39) \quad |\omega^{(i)k} - \omega_o^{(i)k}| \geq c R^{k-1} |\omega^{(i)} - \omega_o^{(i)}| / \log R \quad (1 \leq i \leq n)$$

If $\omega \in P_1$, we have from this relations and (33)

$$(40) \quad \begin{aligned} \|\zeta\|^{-1} &= \|\zeta(\psi(\omega^k - \omega_o^k))\|^{-1} = \|\psi(\omega^k - \omega_o^k)\|^{-1} = \|(\xi - \gamma_o)(\omega^k - \omega_o^k)\|^{-1} \\ &\ll b N^{\frac{-1}{n}} \alpha^{1-k+\epsilon} R^{1/n} \ll X_o^{k-1-f} R^{1-k+\epsilon} \end{aligned}$$

By this inequality and Lemma 10 we have

$$(41) \quad P_1^*(R, c) \ll R^n / N \alpha$$

and

$$(42) \quad P_2^*(R, c) \ll R^{n-1+b} N \alpha^{-1}$$

From (36), (37), (40), (41) and (42), we have

$$\begin{aligned} R^*(\xi, \omega_o) &= \sum_{\omega \equiv \omega_o \pmod{\alpha}} \text{Min}(X_o^{k-\frac{1}{2}}, \|\zeta\|^{-1}) \ll \sum_{\omega \in P_2} X_o^{k-\frac{1}{2}} + \\ &+ \sum_{\omega \in P_1} X_o^{k-1+f} R^{1-k} \ll X_o^{k-1+f} R^{1-k+\epsilon} R^n / N \alpha + X_o^{k-\frac{1}{2}} R^{n-1+b} / N \alpha \\ &= R^n X_o^{k-\frac{1}{2}-b} \frac{1}{N \alpha} (X_o^{f-\frac{1}{2}+b} R^{1-k+\epsilon} + X_o^b R^{b-1}) \\ &\ll R^n \frac{1}{N \alpha} X_o^{k-\frac{1}{2}-b} (X_o^{b+f-\frac{1}{2}-(1-1/(2\sqrt{k}))} (1-k) + \epsilon) \end{aligned}$$

$$\begin{aligned}
 & + X_0^{b+(b-1)(1-1/(2\sqrt{k}))} \ll R^n \frac{1}{N^\alpha} X_0^{k-\frac{1}{2}-b} (X_0^{b+\frac{1}{4}-\frac{1}{2}-(1-1/(2\sqrt{k}))}(k-1)+\epsilon \\
 & + X_0^{b-(1-b)(1-1/(2\sqrt{k}))} \ll R^n \frac{1}{N^\alpha} \cdot X_0^{k-\frac{1}{2}-b} (X_0^{\frac{1}{4}-2(1-1/3)} + X_0^{2b-1-(1-b)/(2\sqrt{9})}) \\
 (43) \quad & \ll R^n \frac{1}{N^\alpha} X_0^{k-\frac{1}{2}-b-1/30}
 \end{aligned}$$

Thus by (36), (43), we obtain

$$\begin{aligned}
 V(\sigma, \xi) & \ll K X_0^{(n-1)(k-\frac{1}{2})} \sum_{\substack{\omega_0 \\ \omega_0 \equiv \sigma(\alpha)}} (R^n \frac{1}{N^\alpha} X_0^{k-\frac{1}{2}-b-1/30}) \\
 & \ll K R^{2n} \frac{1}{N^\alpha{}^2} X_0^{(k-\frac{1}{2})n-b-1/30} \\
 & \ll ((Y_0 Y_1 \dots Y_{S_1-1})^{r_0} R)^{2n} \frac{1}{N^\alpha{}^2} X_0^{(k-\frac{1}{2})n-b-1/30} \\
 & \cdot X_0^{\frac{1}{2}(s_0+1)(1-1/s_0)^{\tau} (1-\delta^{S_1}) (k-\frac{1}{2})n - (k-\frac{1}{2})(1-\delta^{S_1})n} \\
 (44) \quad & = ((Y_0 Y_1 \dots Y_{S_1-1})^{r_0} R)^{2n} \frac{1}{N^\alpha{}^2} \\
 & \cdot X_0^{(k-\frac{1}{2})\delta^{S_1} n + \frac{1}{2}(s_0+1)(1-1/s_0)^{\tau} (1-\delta^{S_1})(k-\frac{1}{2})n-b-1/30}
 \end{aligned}$$

Finally we have, by (34), (35) and (44)

$$\begin{aligned}
 R(\xi)^{r_0} & \ll R^{n(r_0-1)} V(\xi) \ll R^{n(r_0-1)} \sum_{\sigma \bmod \alpha} V(\sigma, \xi) \\
 & \ll R^{n(r_0-1)} N^\alpha ((Y_0 Y_1 \dots Y_{S_1-1})^{r_0} R)^n \frac{1}{N^\alpha} \\
 & X_0^{\frac{1}{2}((k-\frac{1}{2})\delta^{S_1} n + \frac{1}{2}(s_0+1)(1-1/s_0)^{\tau} (1-\delta^{S_1})(k-\frac{1}{2})n-b-1/30)}
 \end{aligned}$$

Therefore

$$\begin{aligned}
 R(\xi) &\ll (Y_0 Y_1 \dots Y_{s_1-1} R)^n \\
 &\quad \frac{1}{2r_0} ((k-\frac{1}{2}) \delta^{s_1} n + \frac{1}{2} (s_0+1) (1-1/s_0)^\tau (1-\delta^{s_1}) (k-\frac{1}{2}) n - b - 1/30) \\
 X_0 &\quad \frac{1}{2r_0} ((k-\frac{1}{2}) \delta^{s_1} n - \frac{1}{2} (s_0+1) (1-1/s_0)^\tau (1-\delta^{s_1}) (k-\frac{1}{2}) n - \frac{1}{2} b) \\
 &\ll (Y_0 Y_1 \dots Y_{s_1} R)^n X_0
 \end{aligned}$$

so the proof of Theorem 5 is completed.

§ 4. Proof of the main theorem.

Let ν be a totally positive integer in J_k . We write $A = \sqrt[n]{N(\nu)}$. If we take a totally positive unit ε and $\Delta (0 < \Delta < \frac{1}{2})$ appropriately, and put $\nu_o = \nu \varepsilon^k$, then we can make

$$(45) \quad \left\{ \begin{array}{l} \frac{c_1 A}{\Delta^{2n_2}} < \nu_o^{(\ell)} < \frac{c_2 A}{\Delta^{2n_2}} \quad (1 \leq \ell \leq n_1) \\ c_1 \Delta^{n_1} A < |\nu_o^{(m)}| < c_2 \Delta^{n_2} A \quad (n_1+1 \leq m \leq n_1+n_2) \end{array} \right.$$

where c_1, c_2 are constants chosen suitably. It should be noticed that c_1 and c_2 can be taken independently of Δ .

We use the following notations :

$$(46) \quad \begin{aligned}
 s_0 &= [\frac{1}{2} \log k] \\
 s_1 &= [2k(6 + \log(n^2 + 1))] \\
 s_2 &= n [k \log k + 3 \log \log k + (2 \log n + \log \log n + 4)]
 \end{aligned}$$

$$T = \left(\frac{c_2 A}{\Delta^{2n_2}} \right)^{1/k} = T' \cdot \omega^{1/k}, \quad \text{where} \quad T' = \frac{c_2 A}{\Delta^{2n_2}}$$

$$(47) \quad T = \left(\frac{c_1 \Delta^{n_1} A}{4s_2 + 2(2c_3)^k s_1} \right)^{1/k}$$

$$X_o = [\sqrt{P}]$$

$$[\hat{T}] = P$$

Let

$$(48) \quad \varphi = \nu_o - \sigma_1 - \sigma_2 - \mu \omega^k,$$

where

$$\sigma_1, \sigma_2 \in Q(s_2, T), \quad \omega \in P(R, c_3), \quad \mu \in Q(s_1, X_o).$$

Then, by (45), (46), and (47), we have

$$\begin{aligned} |\varphi^{(i)}| &= \left| \sigma_1^{(i)} + \sigma_2^{(i)} + \mu^{(i)} \omega^{(i)k} \right| \\ &< 2s_2 \hat{T}^k + 2^k s_1 X_o^k c_3^k X_o^{k(1-1/(2\sqrt{k}))} \\ &< \frac{1}{2} c_1 \Delta^{n_1} A \end{aligned} \quad (1 \leq i \leq n).$$

Moreover we have

$$(49) \quad \left| \varphi^{(\ell)} \right| < \frac{c_2 A}{2^{2n_2}}$$

and

$$(50) \quad |\varphi^{(\ell)}| > \frac{c_1 A}{\Delta^{2n_2}} - \frac{1}{2} c_1 \Delta^{n_1} A > \frac{2 - \Delta^{2n_2}}{2\Delta^{2n_2}} c_1 A > \frac{c_1}{\Delta^{2n_2}} A \quad (1 \leq \ell \leq n_1)$$

Finally we have

$$(51) \quad |\varphi^{(m)}| < c_1 \Delta^{n_1} A + 2s_2 \tilde{T}^k + 2^k s_1 X_o^k c_3^k X_o^{k(1-1/(2\sqrt{k}))} \\ \leq c_2 \Delta^{n_1} A + (2s_2 + (2c_3)^k s_1) \tilde{T}^k \\ = (c_2 + \frac{1}{2} c_1) \Delta^{n_1} A$$

and

$$(52) \quad |\varphi^{(m)}| \geq c_1 \Delta^{n_1} A - \frac{1}{2} c_1 \Delta^{n_1} A = \frac{1}{2} c_1 \Delta^{n_1} A > 0$$

We define the following trigonometrical sums :

$$(53) \quad \left\{ \begin{array}{l} L(\xi) = \sum_{\lambda \in \Omega(T)} E(\lambda^k \xi) , \\ V(\xi) = \sum_{\mu_o \in Q_o(s_2, T)} E(\mu_o \xi) \\ R(\xi) = \sum_{\mu \in Q(s_1, X_o)} \sum_{\omega \in P(R, c_3)} E(\mu \omega^k) \end{array} \right.$$

where

$$s_1 > 4nk + 1.$$

Now we divide the integral

$$\int_U L^{4nk+1}(\xi) V^2(\xi) R(\xi) E(-\nu \epsilon^k \xi) dx$$

into two parts by the Farey division $B_\gamma(t, b)$ and $S(t, b)$

$$= \sum_{\gamma \in \Gamma} \int_{B_\gamma} L^{4nk+1}(\xi) V^2(\xi) R(\xi) E(-\nu \epsilon^k \xi) dx$$

$$+ \int_S L(\xi)^{4nk+1} V^2(\xi) R(\xi) E(-\nu \epsilon^k \xi) dx$$

where $b = X_0^{k-1+f}$, $t = X_0^{1-f}$ ($f = \frac{1}{2}$).

By the definition of L, V, R , (48) and (53), we have

$$(54) \quad \sum_{\gamma \in \Gamma} \int_{B_\gamma} L^{4nk+1}(\xi) V^2(\xi) R(\xi) E(-\nu \epsilon^k \xi) dx$$

$$= \sum_{\gamma \in \Gamma} \int_{B_\gamma} L(\xi)^{4nk+1} \sum_{\varphi} E(-\varphi \xi) dx$$

$$= \sum_{\varphi} \sum_{\gamma} \int_B L(\xi)^{4nk-1} E(-\varphi \xi) dx.$$

Here we put $\mu = \varphi / T^k$, then we have by (49), (50), (51), (52) and (48)

$$0 < \frac{c_1}{2c_2} < \frac{\tau^{(\ell)}}{T^k} < \frac{c_2 A}{2n_2 T^k} < 1$$

$$|\mu^{(m)}| < \frac{(c_2 + \frac{1}{2} c_1) \Delta^{n_1} A}{(c_2 A / \Delta^{2n_2})} = (1 + \frac{1}{2} c_1 / c_2) \Delta^n \quad (n_{1+r-1} \leq m \leq n_{1+n_2}).$$

Hence by Theorem 2

$$J(\mu) = D \prod_{\ell=1}^{\frac{1}{2}(1-s)n_1} F(\mu^{(\ell)}) \prod_{m=n_1+1}^{n_1+n_2} H(\mu^{(m)}),$$

with

$$F(\mu^{(\ell)}) = \frac{\Gamma(1+1/k)}{\Gamma(s/k)} (\mu^{(\ell)})^{s/k-1} > c_3$$

$$H(\mu^{(m)}) \rightarrow H(0) > c_4 \quad (\Delta \rightarrow 0).$$

Hence, if we take Δ sufficiently small, then

$$(55) \quad J(\mu) > c_5 > 0.$$

It follows, from (54), (55) and Theorem 1, that

$$\Re \sum_{\gamma \in \Gamma} \int_{B_\gamma} L(\xi)^{4nk+1} V(\xi)^2 R(\xi) E(-\nu \epsilon^k \xi) dx > c Q_0^*(s_2, T) Q'T^{(s-k)n}$$

for sufficiently large T .

Furthermore we have

$$\begin{aligned} \int_S L(\xi)^{4nk+1} V(\xi)^2 R(\xi) E(-\nu \epsilon^k \xi) dx \\ \ll \sup_{(x_1, \dots, x_n) \in S} |L(\xi)| |R(\xi)| \int_U |V(\xi)|^2 dx \\ = \sup_{(x_1, \dots, x_n) \in S} |L(\xi)| |R(\xi)| Q_0^*(s_2, T) \end{aligned}$$

Now we have to use Theorem 5 and trivial estimation $|L^s(\xi)| \ll T^{ns}$. From these results, we have

$$\begin{aligned}
 \int_S &\ll T^{sn} Q_0^*(s_2, T) Q' X_0^\rho \ll T^{sn} Q_0^*(s_2, T) Q' X_0^\rho Q_0^*(cs_2, T) T^{-nk+nk(1-1/k)^\ell} \\
 (56) \qquad &\ll T^{(s-k)n} (Q_0^*(s_2, T))^2 Q' X_0^{\rho+nk(1-1/k)^\ell}
 \end{aligned}$$

where

$$\ell = [s_2/n].$$

Thus finally we have

$$(57) \quad \int_S \ll T^{(s-k)n} (Q_0^*(s_2, T))^2 Q' X_0^\sigma$$

where

$$\begin{aligned}
 \sigma = \frac{1}{2r_0} &((k-\frac{1}{2})^{s_1} + \frac{1}{2}(s_2+1)(1-1/s_0)^r (1-\delta^{s_1})^{(k-\frac{1}{2})-\frac{1}{2}b/n}) n \\
 &+ 2nk(1-1/k)^\ell
 \end{aligned}$$

We have used the following Tatzawa's Lemma [10] to give (56).

LEMMA 11. Let $Q_s(T)$ denote the set of integers μ of K which can be expressed in the form

$$\mu = \sigma_1^k + \dots + \sigma_s^k$$

where σ_r ($1 \leq r \leq s$) is a totally non-negative integer of K with $\|\sigma_r\| \leq T$.

Let $Q_s^*(T)$ be the number of integers belonging to $Q_s(T)$, then,

$$Q_s^*(T) \geq c T^{nk(1 - (1 - 1/k)^\ell)}$$

where $\ell = [s/n]$.

If we can prove

$$\sigma > -c, \quad c > 0$$

in (57), then we have from (56) and (57)

$$\int_U \int_U L^s(\xi) V^2(\xi) R(\xi) E(-\nu \epsilon^k \xi) dx > 0,$$

where $N(\nu) > c$.

This means : there exist total non-negative integers $\lambda_j (1 \leq j \leq s)$

$\sigma_j (1 \leq j \leq 2s_2)$ and $\tau_j (1 \leq j \leq s_1)$ such that

$$\nu \epsilon^k = \sum_{j=1}^s \lambda_j^k + \sum_{j=1}^{2s_2} \sigma_j^k + \sum_{j=1}^{s_1} \tau_j^k$$

with

$$\left\{ \begin{array}{l} N(\lambda_j) \leq T^n = c_7 N(\nu)^{1/k}, \\ N(\sigma_j) \leq \tilde{T}^n = c_8 N(\nu)^{1/k}, \\ N(\tau_j) \leq X_o^n R^n = X_o^n (X_o^{1-1/(2\sqrt{k})})^n \leq c_9 N(\nu)^{1/k}. \end{array} \right.$$

Let

$$\frac{\log k + \log(s(n^2 + 1))}{-\log(1 - s_o / (k - \frac{1}{2}))} = D_1.$$

Since $s_o = [\frac{1}{2} \log k]$ and well known inequalities

Then, we have, by (58) and $s_0 = [\frac{1}{2} \log k]$,

$$\begin{aligned} D_\tau &< (\log k + \log \log k + \log(n^2 + 1) + 1.5) s_0 \\ &< (\log k + \log \log k + \log(n^2 + 1) + 2) \frac{\log k}{2} \end{aligned}$$

Hence, we have

$$(60) \quad \tau \geq D_\tau = \frac{\log k + \log \log k + \log(n^2 + 1) + 4}{- \log(1 - 1/s_0)}$$

where

$$(61) \quad \tau \geq [\log k + \log \log k + \log(n^2 + 1) + 2] \frac{\log k}{2}.$$

Finally we have from (60)

$$\frac{1}{2} k \log k (1 - 1/s_0)^\tau < \frac{1}{8(n^2 - 1)}.$$

Thus by this inequality

$$\begin{aligned} & \frac{1}{2} (s_0 + 1) (1 - 1/s_0)^\tau (1 - \delta^{s_0}) (k - \frac{1}{2}) \\ (62) \quad & < \frac{1}{2} k \log k (1 - 1/s_0)^\tau < \frac{1}{8} \frac{1}{n^2 + 1}. \end{aligned}$$

Hence we have by (59) and (62)

$$(63) \quad \sigma' = \frac{1}{2} \sigma < \frac{-b}{16r_0} + nk(1 - 1/k) \left[\frac{s_0}{n} \right].$$

We put

$$(64) \quad r = 2r_0 = 2 [2 \log n (\log k)^3] .$$

Then we have by the definition of (61)

$$\begin{aligned}
 & s_0(s_0 + 1) + \tau s_0 \\
 & < \frac{1}{2} \log k \left(\frac{1}{2} \log k + 1 + \frac{1}{2} (\log k)^2 + \frac{1}{2} \log k \log \log k + \frac{1}{2} \log(n^2 + 1) \log k + \log k \right) \\
 & < \frac{1}{2} \log k \left((\log k)^2 + \left(\frac{1}{2} \log(n^2 + 1) + 3/2 \right) \log k + 1 \right) \\
 & < 2 \log n (\log k)^2 \\
 & < 2r_0 .
 \end{aligned}$$

Let

$$D_2 = \frac{\log k + 3 \log \log k + \log(32(n^2 + 1)) + \log \log n}{- \log(1 - 1/k)} .$$

Then we have by (58) and the definition of s_2

$$D_2 < k (\log k + 3 \log \log k + 2 \log n + \log \log n + 4) - 1$$

hence we obtain

$$\left[\frac{s_2}{n} \right] \geq k (\log k + 3 \log \log k + 2 \log n + \log \log n - 4)$$

and

$$(65) \quad (1 - 1/k) \left[\frac{s_2}{n} \right] < \frac{1}{32(n^2 + 1) \log n k \log^3 k}$$

We have by (64)

$$(66) \quad \frac{b}{32r_0} > \frac{1}{32(n^2 + 1) \log n k \log^3 k}$$

$$(58) \quad p - 1 \leq \frac{1}{-\log(1 - 1/p)} \leq p \quad (0 < 1/p < 1),$$

we have

$$\begin{aligned} D_1 &< (\log k + \log(8(n^2 + 1))) \frac{k - \frac{1}{2}}{s_0} \\ &< (\log k - 2 + \log(8(n^2 + 1))) \frac{k}{\frac{1}{2} \log k - 1} \\ &< 2k \left(1 - \frac{\log(8e^2(n^2 + 1))}{\log k - 2} \right) \\ &< 2k(1 + \log(n^2 + 1) + 4.079 \dots) \\ &< 2k(6 + \log(n^2 + 1)) \\ &< s_1 \end{aligned}$$

where

$$s_1 = [2k(6 + \log(n^2 + 1))] + 1.$$

Thus we have

$$s_1 > D_1 = \frac{\log k + \log(8(n^2 + 1))}{\log(1 - s_0/(k-2))}$$

and we have

$$(59) \quad (k - \frac{1}{2}) \delta^{s_1} < \frac{1}{8(n^2 + 1)}.$$

Next, let

$$D_\tau = \frac{\log k + \log \log k + \log(n^2 + 1) + \log 4}{-\log(1 - 1/s_0)}$$

By (65) and (66)

$$(1 - 1/k)^{[s_2/n]} < \frac{1}{32(n^2 + 1) \log n k \log^3 k}$$

and

$$(67) \quad (1 - 1/k)^{[s_1/n]} < \frac{b}{32r_0}$$

Thus we have by (63) and (67)

$$\sigma' = \frac{1}{2} \sigma < \frac{-b}{16r_0} + nk(1 - 1/k)^{[s_2/n]} < -\frac{b}{32r_0} < 0$$

Hence we have $f > 0$. Finally we have

U

$$\begin{aligned} G_K(k) &\leq s + s_1 + 2s_2 \\ &\leq 4nk + 1 + 2k(6 + \log(n^2 + 1)) \\ &\quad + 2nk(\log k + 3 \log \log k + (2 \log n + \log \log n + 4)) \\ &\leq 2nk \log k + 6k \log \log k \\ &\quad + 2(\log n + n \log \log n + 2 \log 14)k + 1. \end{aligned}$$

Our Main Theorem follows from this result.

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ABSTRACT

A classical theorem in mechanics states that a Hamiltonian which is invariant under a symmetry group admits additional integrals of motion. This paper investigates the converse of the above theorem. If a Hamiltonian admits integrals, then a symmetry can be constructed and the flow studied on a quotient space. The quotient space is shown to be symplectic and the resulting flow Hamiltonian. The constructions used are similar to the recent constructions of Nahmshen, Marsden and Weinstein and Meyer.

The general theory presented is used to give an intrinsic derivation of Hamilton's equations of motion. Also, certain local coordinates are given which display the integrals in a simple form.

Forward: Miss Uei Fong died suddenly before completing her doctoral research. From her notes, I was able to complete her dissertation and she was posthumously awarded the degree of Doctor of Philosophy by the University of Cincinnati in June 1975.

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