

I_3 - ∇ ALGEBRAS

by

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§ 1. **Introducción.** In this note we present an algebraic study of a fragment of the three-valued propositional calculus of Lukasiewicz, that is, we study from an algebraic standpoint the three-valued calculus where the characteristic matrix is given by the chain $T = \{0, 1/2, 1\}$ and the connectives \rightarrow (Lukasiewicz implication) and ∇ (possibility operator) are given by the tables:

\rightarrow	0	1/2	1
0	1	1	1
1/2	1/2	1	1
1	0	1/2	1

x	∇x
0	0
1/2	1
1	1

These algebras, called I_3 - ∇ algebras, play an analogous role to that of Boolean algebras for two-valued propositional calculus.

In 1968, A. Monteiro [16] introduced the notion of I_3

algebra as a system $(A, \rightarrow, 1)$ where A is a non empty set, 1 is an element of A , and \rightarrow is a binary operation defined on A fulfilling the following conditions for all $x, y, z \in A$:

- I1 $x \rightarrow (y \rightarrow x) = 1$
- I2 $(x \rightarrow y) \rightarrow ((y \rightarrow z) \rightarrow (x \rightarrow z)) = 1$
- I3 $(x \rightarrow y) \rightarrow y = (y \rightarrow x) \rightarrow x$
- I4 $((x \rightarrow y) \rightarrow (y \rightarrow x)) \rightarrow (y \rightarrow x) = 1$
- I5 $((x \rightarrow (x \rightarrow y)) \rightarrow x) \rightarrow x = 1$
- I6 $1 \rightarrow x = x$.

The same author has proved that the following properties are true in any I_3 algebra:

- I7 $x \rightarrow 1 = 1$
- I8 $x \rightarrow x = 1$
- I9 $(x \rightarrow y) \rightarrow ((z \rightarrow x) \rightarrow (z \rightarrow y)) = 1$
- I10 $x \rightarrow (x \rightarrow (x \rightarrow y)) = x \rightarrow (x \rightarrow y)$
- I11 $x \rightarrow (y \rightarrow z) = y \rightarrow (x \rightarrow z)$
- I12 The relation $x \leq y$ if and only if $x \rightarrow y = 1$ is a partial ordering on A , and 1 is the greatest element of A .
- I13 The element $x \vee y = (x \rightarrow y) \rightarrow y$ is the least upper bound of the elements x and y .

In addition, if we define a new binary operation \rightarrow , called *weak implication*, as follows [22]:

$$x \rightarrow y = x \rightarrow (x \rightarrow y)$$

this operation has the following properties:

- C1 $x \rightarrow (y \rightarrow x) = 1$
- C2 $(x \rightarrow (y \rightarrow z)) \rightarrow ((x \rightarrow y) \rightarrow (x \rightarrow z)) = 1$
- C3 $((x \rightarrow y) \rightarrow x) \rightarrow x = 1$
- C4 (Modus Ponens) If $x = 1$ and $x \rightarrow y = 1$, then $x = 1$.

1.1. DEFINITION. An I_3 - ∇ algebra is a system $(A, \rightarrow, \nabla, 1)$ such that $(A, \rightarrow, 1)$ is an I_3 algebra and ∇ is a unary operation defined on A fulfilling the conditions:

$$\nabla 1 \quad x \rightarrow (x \rightarrow \nabla y) = \nabla(x \rightarrow y)$$

$$\nabla 2 \quad \nabla(\nabla x \rightarrow \nabla y) = \nabla x \rightarrow \nabla y$$

$$\nabla 3 \quad (x \rightarrow y) \rightarrow x = (\nabla x \rightarrow \nabla y) \rightarrow x.$$

As usual, the I_3 - ∇ algebra $(A, \rightarrow, \nabla, 1)$ will be denoted by the underlying set A .

The following result is a consequence of I1 to I13, $\nabla 1$, $\nabla 2$, and $\nabla 3$.

1.2. LEMMA. *The following properties are true in any*

I_3 - ∇ algebra:

$$\nabla 4 \quad \nabla 1 = 1$$

$$\nabla 5 \quad \nabla \nabla x = \nabla x$$

$$\nabla 6 \quad \nabla x \rightarrow \nabla((x \rightarrow y) \rightarrow y) = 1$$

$$\nabla 7 \quad \nabla x \rightarrow \nabla y = \nabla x \rightarrow (\nabla x \rightarrow \nabla y)$$

$$\nabla 8 \quad \nabla x \rightarrow \nabla y = \nabla(\nabla x \rightarrow y)$$

$$\nabla 9 \quad (x \rightarrow y) \rightarrow ((x \rightarrow y) \rightarrow (\nabla x \rightarrow \nabla y)) = 1$$

$$\nabla 10 \quad (\nabla x \rightarrow \nabla y) \rightarrow ((x \rightarrow (x \rightarrow y)) \rightarrow (x \rightarrow y)) = 1$$

$$\nabla 11 \quad x \leq \nabla x.$$

1.3. EXAMPLE. Consider $T = \{0, 1/2, 1\}$ with the operation defined in the introductory paragraph, then it is easy to see that $(T, \rightarrow, \nabla, 1)$ is an I_3 - ∇ algebra.

§2. Simple algebras. If A, A' are I_3 - ∇ algebras, an $(I_3$ - ∇)-homomorphism h from A into A' is a mapping $h: A \rightarrow A'$ fulfilling the conditions:

$$h(x \rightarrow y) = h(x) \rightarrow h(y)$$

$$h(\nabla x) = \nabla h(x)$$

The *kernel* of an $(I_3-\nabla)$ -homomorphism $h:A \rightarrow A'$ is the set $\text{Ker } h = \{x \in A : h(x) = 1\}$. It is easy to prove that if $D = \text{Ker } h$, then:

$$D1 \quad 1 \in D$$

$$D2 \quad \text{If } x, x \rightarrow y \in D, \text{ then } y \in D.$$

2.1. DEFINITION. A *deductive system* is a part D of an $I_3-\nabla$ algebra A that verifies $D1$ and $D2$.

It is well known that if D is a deductive system in an I_3 algebra A , then the relation \equiv defined as $x \equiv y \pmod{D}$ if and only if $x \rightarrow y, y \rightarrow x \in D$, concerning the operation \rightarrow , defines a congruence in A [16]. Furthermore, from conditions $\nabla 9$ and $D1$, it is possible to conclude that \equiv is a congruence in an $I_3-\nabla$ algebra A . Let A/D be the quotient algebra and $q:A \rightarrow A/D$ the canonical homomorphism, then D is the kernel of q . All the homomorphic images of A , up to isomorphisms, can be obtained in the above mentioned way.

Our next objective will be the determination of simple $I_3-\nabla$ algebras. To this end we shall need to study the maximal deductive systems.

2.2. DEFINITION. A deductive system D of an $I_3-\nabla$ algebra A is called *maximal* if (1) $D \neq A$, and (2) if $D \subseteq D' \subseteq A$ and D' is a deductive system, then $D = D'$ or $D' = A$.

2.3. REMARK. It is well known that a part D of an I_3 algebra A is a deductive system if $D1$ holds and $D'2$: if $x, x \rightarrow y \in D$ then $y \in D$. On the other hand, as the weak implication veri-

fies C1, C2, C3 and C4, after [17], we can state that every deductive system of an I_3 - ∇ algebra is a meet of maximal deductive systems. In particular, [1] is the meet of all maximal deductive systems, that is, every I_3 - ∇ algebra is *deductively semisimple*.

2.4. DEFINITION. An I_3 - ∇ algebra A is said to be *simple* if:

- (1) A is non trivial.
- (2) The only homomorphic images of A are up to isomorphisms, the trivial ones, that is, A and the trivial algebra.

Taking into account that the homomorphic images of A are the algebras A/D, where D is a deductive system, we have that A/M is simple if and only if M is maximal. Also, 2.3 implies that every non trivial I_3 - ∇ algebra is a subdirect product of simple I_3 - ∇ algebras, and all the subdirectly irreducible I_3 - ∇ algebras are simple.

We have the following result which we shall need in the next theorem.

2.5. LEMMA. *If M is a deductive system in an I_3 - ∇ algebra A, we have*

- (1) *If $m \in M$, then $x \rightarrow m \in M$ for every $x \in A$*
- (2) *M is maximal if and only if for every $x, y \notin M$, $x \rightarrow (x \rightarrow y) \in M$.*
- (3) *If M is maximal and $\forall x, \forall y \notin M$, then $\forall x \rightarrow \forall y \in M$.*

The proof of (1) and (2) can be found in [16], (3) is a consequence of (2) and $\nabla 7$.

It is clear that the algebra T of 1.3 is simple and $B = \{0,1\}$ and $L = \{1/2, 1\}$ are non isomorphic (I_3 - ∇)-subalge-

bras of T and therefore simple algebras. Moreover, the simple algebras are just the algebras T , B and L . Indeed:

2.6. THEOREM. *If M is a maximal deductive system of an I_3 - ∇ algebra A , then $A/M \simeq T$ or $A/M \simeq B$ or $A/M \simeq L$.*

Proof. Consider the sets $M_0 = \{x \notin M : \forall x \notin M\}$ and $M_{1/2} = \{x \notin M : \forall x \in M\}$. Then the mapping $h: A \rightarrow T$ defined by

$$h(x) = \begin{cases} 1 & \text{if } x \in M \\ 1/2 & \text{if } x \in M_{1/2} \\ 0 & \text{if } x \in M_0 \end{cases}$$

is an $(I_3$ - ∇)-homomorphism such that $M = \text{Ker } h$ by Lemma 2.5. The theorem is proved if we observe that $h(A)$ is an $(I_3$ - ∇)-subalgebra of T and $A/M \simeq h(A)$. ■

From this theorem it follows that every non trivial I_3 - ∇ algebra is a subdirect product of copies of the algebras T , B and L .

§3. I_3 - ∇ algebras with a finite set of free generators.

The aim of this section is to determine the structure of the I_3 - ∇ algebras with n free generators $L(n)$, where n is a finite positive cardinal number. Let $G = \{g_1, g_2, \dots, g_n\}$ be the set of free generators of $L(n)$. If we note by T^G the set of all functions from G into T and $\text{Hom}(L(n), T)$ the set of all homomorphisms from $L(n)$ into T , it is clear that the application which maps each homomorphism $h: L(n) \rightarrow T$ into its restriction to G establishes a one-to-one correspondence between the sets $\text{Hom}(L(n), T)$ and T^G . Hence, $\text{Hom}(L(n), T)$ is finite.

3.1. LEMMA. If \mathfrak{M} is the family of all maximal deductive systems of $L(n)$, then the application $\varphi: \text{Hom}(L(n), T) \rightarrow \mathfrak{M}$ defined by $\varphi(h) = \text{Ker } h$, is a bijection.

Proof. Let $M \in \mathfrak{M}$, $q: L(n) \rightarrow L(n)/M$ the canonical $(I_3-\nabla)$ -homomorphism, $i: L(n)/M \rightarrow T$ an $(I_3-\nabla)$ -monomorphism, which exists by Theorem 2.6, then $h = i \circ q \in \text{Hom}(L(n), T)$ and $\varphi(h) = M$, therefore φ is onto. On the other hand, there exists only one automorphism in T , B or L , the automorphism $\alpha(x) = x$ for all x , and then, if $M \in \mathfrak{M}$, $\varphi^{-1}(M)$ has exactly one element and so φ is one-to-one. ■

Since $L(n)$ is a subdirect product of the finite algebras $L(n)/M$ with $M \in \mathfrak{M}$, then from the above results it follows that:

3.2. COROLLARY. The free $I_3-\nabla$ algebra $L(n)$, where n is a finite positive cardinal number, is finite.

We shall need the following result:

3.3. LEMMA. The generators g_i , $1 \leq i \leq n$, are the minimal elements of $L(n)$.

Proof. Analogous to that of [10]. ■

Consider the sets $G_i = \{x \in L(n) : g_i \leq x\}$, $1 \leq i \leq n$, then $L(n) = \bigcup_{i=1}^n G_i$ and so $|L(n)| = |\bigcup_{i=1}^n G_i|$. Let $B_i^{(n)} = G_{i_1} \cap G_{i_2} \cap \dots \cap G_{i_k}$, $1 \leq i_1 < i_2 < \dots < i_k \leq n$, where $i = (i_1, i_2, \dots, i_k)$. It is well known that $|L(n)| = \sum_{i=1}^n (-1)^{k+1} |B_i^{(n)}|$. Clearly, by symmetry, it is sufficient to determine $B_k = G_1 \cap G_2 \cap \dots \cap G_k$, and then we will have

$$(1) \quad |L(n)| = \sum_{k=1}^n (-1)^{k+1} \binom{n}{k} |B_k|.$$

3.4. LEMMA. Let $g_0 = g_1 \vee g_2 \vee \dots \vee g_k$. Then

(1) $B_k = \{x \in L(n) : g_0 \leq x\}$.

(2) B_k is a tree-valued Lukasiewicz algebra.

Proof. (1) is obvious since g_0 is the least upper bound of g_1, g_2, \dots, g_k ; (2) is a consequence of the fact that B_k has least element g_0 . ■

Let \mathcal{M}_k be the family of all maximal deductive systems of B_k . Since B_k is finite, from the theory of three-valued Lukasiewicz algebras we know that

$$B_k \approx \prod_{D \in \mathcal{M}_k} B_k/D.$$

We say that D is *three-valued* if $B_k/D \approx T$, *B-two-valued* if $B_k/D \approx B$ and *L-two-valued* if $B_k/D \approx L$. Then, if we wish to determine $|B_k|$ we must compute the number of three-valued, B-two-valued and L-two-valued deductive systems of B_k .

The following result gives a characterization of the maximal deductive systems of B_k by means of the maximal deductive systems of $L(n)$.

3.5. LEMMA. If D is a deductive system of B_k , then D is maximal in B_k if and only if there exists a maximal deductive system of $L(n)$ such that $D = B_k \cap M$.

Proof. Suppose M a maximal deductive system of $L(n)$ and consider $D = B_k \cap M$. Assume $D \neq B_k$. Since it is clear that D is a deductive system of B_k , we are going to prove that D is maximal. From 2.5 (2) it is sufficient to prove that $x \rightarrow (x \rightarrow y) \in D$ for all $x, y \in B_k - D$. Since $x, y \notin M$ and M is maximal, we get $x \rightarrow (x \rightarrow y) \in M$. But $x \rightarrow (x \rightarrow y) \in B_k$, so $x \rightarrow (x \rightarrow y) \in D$.

Conversely, let D be a maximal deductive system of B_k . Since B_k is finite, there exists $a \in B_k$ such that

$$D = D(a) = \{x \in B_k : a \rightarrow x = 1\} \quad ([16], [10]).$$

Consider $D' = D'(a) = \{x \in L(n) : a \rightarrow x = 1\}$. Then $g_0 \notin D'$, because otherwise we would have $a \leq g_0$ and $g_0 \in D(a)$, which contradicts the fact that $D(a)$ is proper. From 2.3 we can state that there exists a maximal deductive system M of $L(n)$ such that $g_0 \notin M$ and $D'(a) \subseteq M$. Let us now prove that $M \cap B_k = D$. Clearly $D \subseteq M \cap B_k$ and $M \cap B_k$ is a proper deductive system of B_k and D is maximal, therefore $D = M \cap B_k$. The proof is now complete. ■

Since every $f \in T^G$ can be extended to a unique homomorphism $h \in \text{Hom}(L(n), T)$ such that $\text{Ker } h = M$ is a maximal deductive system of $L(n)$, then $B_k \subseteq M$ or $B_k \cap M$ is a maximal deductive system of B_k .

Thus we must to determine the set $\text{Hom}^*(L(n), T)$ of all homomorphisms h from $L(n)$ into T such that $B_k \not\subseteq \text{Ker } h$.

3.6. LEMMA. *For every function f from G into T , the following conditions are equivalent:*

- (1) *The extension h of f is an element of $\text{Hom}^*(L(n), T)$.*
- (2) *$f(g_i) \in \{0, 1/2\}$, $1 \leq i \leq k$.*

Proof. $B_k \subseteq \text{Ker } h$ if and only if $h(g_0) = 1$, but $h(g_0) = f(g_1) \vee f(g_2) \vee \dots \vee f(g_k) = 1$ if and only if $f(g_i) = 1$ for some i since the ordering of T is total. ■

Let f a function from G into T , h its extension and $M = \text{Ker } h$, then $M \cap B_k$ is B -two-valued if and only if $f(g_i) = 0$ for all i , $1 \leq i \leq k$, and $f(g_j) \in \{0, 1\}$ for all $k+1 \leq j \leq n$.

Since there exist 2^{n-k} such functions, then there exist 2^{n-k} B-two-valued deductive systems of B_k .

If there exists g_i , $1 \leq i \leq k$, such that $f(g_i) = 1/2$, then $h(g_0) = 1/2$ and therefore $h(B_k) = \{1/2, 1\}$ and in that case $M \cap B_k$ is L-two-valued, and there exist $(2^k - 1)3^{n-k}$ L-two-valued deductive systems of B_k .

On the other hand, M is three-valued if and only if $f(g_i) = 0$ for all i , $1 \leq i \leq k$, and there exists g_j such that $f(g_j) = 1/2$, $k+1 \leq j \leq n$. Therefore, we have $3^{n-k} 2^{n-k}$ three-valued deductive systems of B_k .

With the above results in hand we can write:

$$B_k \approx \left[\prod_{i=1}^{2^{n-k}} B_i \right] \times \left[\prod_{i=1}^{(2^k-1)3^{n-k}} L_i \right] \times \left[\prod_{i=1}^{3^{n-k} 2^{n-k}} T_i \right]$$

Where $B_i = B$, $L_i = L$ and $T_i = T$, and taking into account (1)

$$|L(n)| = \sum_{k=1}^n (-1)^{k+1} \binom{n}{k} 2^{(2^{n-k} + (2^k - 1)3^{n-k})} 3^{(3^{n-k} 2^{n-k})}$$

Particular cases of this formula had been obtained by A. Monteiro and L. Iturrioz [9] for Tarski algebras, and by L. Iturrioz and O. Rueda [10] for I_3 algebras. In addition, we can state that the notion of $I_3 - \nabla$ algebra is a generalization of the notion of $I_3 - \Delta$ algebra ([6], [7]). In fact, if in an $I_3 - \Delta$ algebra $(A, \rightarrow, \Delta, 1)$ we define ∇ by means of $\nabla x = (x \rightarrow \Delta x) \rightarrow x$ the system $(A, \rightarrow, \nabla, 1)$ is an $I_3 - \nabla$ algebra.

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