

## M-IDEALS IN BANACH SPACES

by

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**ABSTRACT.** Let  $X$  and  $Y$  be given Banach spaces, and  $L(X,Y)$  be the space of bounded linear operators from  $X$  into  $Y$ . Compact operators are denoted by  $K(X,Y)$ . It is shown that under certain conditions if  $K(X,Y)$  is an  $M$ -ideal of  $L(X,Y)$ , then  $Y$  is an  $M$ -ideal of  $Y^{**}$ . Further it is shown that if  $X$  and  $Y$  are reflexive and  $K(Y,Y)$  is an  $M$ -ideal of  $L(Y,Y)$ , then  $K(X,Y)^{**}$  is isometric to  $L(X,Y)$ .

**RESUMEN.** Sean  $X$  y  $Y$  espacios de Banach y  $L(X,Y)$  el espacio de operadores lineales acotados de  $X$  en  $Y$ . El subespacio de operadores compactos se denota  $K(X,Y)$ . Se demuestra que bajo ciertas condiciones, si  $K(X,Y)$  es un  $M$ -ideal de  $L(X,Y)$  entonces  $Y$  es un  $M$ -ideal de  $Y^{**}$ . Además, si  $X$  y  $Y$  son reflexivos y  $K(Y,Y)$  es un  $M$ -ideal de  $L(Y,Y)$ , entonces  $K(X,Y)^{**}$  es isométrico a  $L(X,Y)$ . Esto generaliza resultados análogos de A. Lima y P. Harmand.

**§0. Introducción.** Let  $X$  and  $Y$  be given Banach spaces. The space of bounded linear operators from  $X$  into  $Y$  is denoted by

$L(X,Y)$ . We let  $K(X,Y)$  be the compact elements in  $L(X,Y)$ . Lima, [5], showed that if  $K(X,X)$  is an M-ideal of  $L(X,X)$ , then  $X$  is an M-ideal in  $X^{**}$ . In a subsequent paper, Harmand and Lima, [4], have shown that if  $X$  is reflexive and  $K(X,X)$  is an M-ideal of  $L(X,X)$ , then  $K(X,X)^{**}$  is isometric to  $L(X,X)$ .

The object of this paper is to generalize the above mentioned results to  $L(X,Y)$ . In section 2 we show that if the pair  $(X,Y)$  satisfies the so called E-property, and  $K(X,Y)$  is an M-ideal of  $L(X,Y)$ , then  $Y$  is an M-ideal of  $Y^{**}$ . Further, we show that if  $X$  and  $Y$  are reflexive, and  $K(Y,Y)$  is an M-ideal of  $L(Y,Y)$ , then  $K(X,Y)^{**}$  is isometric to  $L(X,Y)$ . Some other results are given. All Banach spaces are assumed to be real.

**§1. Preliminaries on M-ideals.** A closed subspace  $J$  of a Banach space  $X$  is called an L-summand of  $X$  if there exists a closed subspace  $J' \subseteq X$  such that  $X = J \oplus J'$  and for  $x_1 \in J$  and  $x_2 \in J'$  one has  $\|x_1 + x_2\| = \|x_1\| + \|x_2\|$ . The subspace  $J$  is called an M-ideal of  $X$  if  $J^\perp$  is an L-summand of  $X^*$ , where  $J^\perp = \{\psi \in X^* : \psi(J) = 0\}$ .

Another equivalent definition of M-ideals is given via the intersection properties of balls: let  $B(x,r) = \{y \in X : \|x-y\| \leq r\}$ . Then  $J$  is an M-ideal of  $X$  if and only if given any three balls  $B(a_i, r_i)$ ,  $i = 1, 2, 3$ , in  $X$  such that

$$\bigcap_{i=1}^3 B(a_i, r_i) \neq \phi \quad \text{and} \quad J \cap B(a_i, r_i) \neq \phi, \quad i = 1, 2, 3,$$

then

$$J \cap \left( \bigcap_{i=1}^3 B(a_i, r_i) \right) \neq \phi.$$

We refer to [1] and [6] for more on M-ideals in Banach spaces.

**§2. M-Ideals and compact operators.** A pair of Banach spaces  $(X, Y)$  is said to satisfy the *E-property* if for every  $y \in Y^{**}$ , there exists a non-compact  $T \in L(X, Y)$  and an  $x \in X^{**}$  such that  $\|T\| \leq 1$ ,  $\|x\| \leq 1$  and  $T^{**}(x) = y$ .

The pair  $(X, X)$  clearly satisfies the E-property, by taking  $T = I =$  identity operator. However, since  $L(\ell^p, \ell^q) = K(\ell^p, \ell^q)$ ,  $1 \leq q < p < \infty$ , [7], the pair  $(\ell^p, \ell^q)$  does not satisfy the E-property.

**THEOREM 2.1.** *Let  $X$  and  $Y$  be given Banach spaces such that the pair  $(X, Y)$  satisfies the E-property. If  $K(X, Y)$  is an M-ideal of  $L(X, Y)$ , then  $Y$  is an M-ideal of  $Y^{**}$ .*

*Proof.* By Lima [6], it is enough to prove that for every  $y \in Y^{**}$  and  $y_1, y_2, y_3$  in  $Y$  with  $\|y\| = 1$  and  $\|y_i\| \leq 1$ , and for every  $\epsilon > 0$ , there exists  $z \in Y$  such that

$$\|y + y_i - z\| \leq 1 + \epsilon, \quad i = 1, 2, 3.$$

Thus, let  $\epsilon, y, y_1, y_2, y_3$  be given as above. Since  $(X, Y)$  satisfied the E-property, there exists a non-compact operator  $T \in L(X, Y)$  with  $\|T\| \leq 1$  and an  $x \in X^{**}$ ,  $\|x\| \leq 1$  such that  $T^{**}(x) = y$ . Choose  $x^* \in X^*$  such that  $1 - \epsilon \leq x^*(x) < 1$ . Define the compact operators  $S_i \in K(X, Y)$ :

$$S_i = x^* \otimes y_i, \quad i = 1, 2, 3.$$

Since  $K(X, Y)$  is an M-ideal in  $L(X, Y)$ , there exists  $U \in K(X, Y)$  such that

$$\|T + S_i - U\| \leq 1 + \epsilon, \quad i = 1, 2, 3.$$

Hence

$$\|(T+S_i-U)^{**}\| = \|T^{**}+S_i^{**}-U^{**}\| \leq 1+\epsilon,$$

for  $i = 1,2,3$ . Consequently

$$\|(T^{**}+S_i^{**}-U^{**})(x)\| \leq 1+\epsilon.$$

Since  $U$  is compact, then, [3,p.624],  $U^{**}(x) = z \in Y$ . Thus

$$\|y+x^*(x)y_i-z\| \leq 1+\epsilon.$$

But  $1-\epsilon \leq x^*(x) \leq 1$ . Hence

$$\|y+y_i-z\| \leq 1+2\epsilon.$$

This completes the proof of the theorem.

**COROLLARY 2.2.** *If the pair  $(X,Y)$  satisfies the E-property, and  $K(X,Y)$  is an M-ideal of  $L(X,Y)$ , then  $Y^*$  has the Radon-Nikodym property.*

*Proof.* This follows from [4, Theorem 2.6] and the previous theorem. ■

For the Banach spaces  $X$  and  $Y$ , let  $X \hat{\otimes} Y$  be the complete projective tensor product of  $X$  with  $Y$ , [8]. Let  $Y^*$  or  $X^{**}$  have the Radon-Nikodym property. Collins and Ruess, [2], showed that the map

$$V : X^{**} \hat{\otimes} Y^* \rightarrow K(X,Y)^*$$

defined by

$$\langle V(\phi), T \rangle = \sum_{i=1}^{\infty} \langle T^{**}(x_i^{**}), y_i^* \rangle,$$

for every  $\phi = \sum_{i=1}^{\infty} x_i^{**} \otimes y_i^*$  in  $X^{**} \hat{\otimes} Y^*$ , is a quotient map.  
Hence,

$$K(X, Y)^* \simeq X^{**} \hat{\otimes} Y^*/N,$$

where  $N = \ker V$ . Consequently,

$$K(X, Y)^{**} \simeq N^{\perp} = \{Q \in (X^{**} \hat{\otimes} Y^*)^* : Q(N) = 0\}.$$

**THEOREM 2.3.** *Let  $K(Y, Y)$  be an M-ideal of  $L(Y, Y)$ .*

*Then  $L(X, Y) \subseteq K(X, Y)^{**} \subseteq L(X^{**}, Y^{**})$ .*

*Proof.* It is enough to show that if  $T \in L(X, Y)$  then  $T^{**} \in (\ker V)^{\perp} = N^{\perp}$ . Since  $K(Y, Y)$  is an M-ideal of  $L(Y, Y)$ , then, [4], there exists a net  $(T_{\alpha})$  in  $K(Y, Y)$  such that

- (i)  $\|T_{\alpha}\| \leq 1$  for all  $\alpha$
- (ii)  $\|T_{\alpha}^*(y^*) - y^*\| \rightarrow 0$  for all  $y^* \in Y^*$ .
- (iii)  $\|I - T_{\alpha}\| \rightarrow 1$ .

Now, let  $T \in L(X, Y)$ , and  $\phi \in \ker V$ , with  $\phi = \sum_{i=1}^{\infty} x_i^{**} \otimes y_i^*$ .  
Clearly  $T_{\alpha}T \in K(X, Y)$  and

$$\begin{aligned} 0 &= \langle T_{\alpha}T, V(\phi) \rangle \\ &= \sum_{i=1}^{\infty} \langle T_{\alpha}^{**} T^{**}(x_i^{**}), y_i^* \rangle \\ &= \sum_{i=1}^{\infty} \langle T^{**}(x_i^{**}), T_{\alpha}^*(y_i^*) \rangle. \end{aligned}$$

By property (ii) of the net  $(T_{\alpha})$  and for  $\phi \in X^{**} \hat{\otimes} Y^*$ , we have

$$\begin{aligned} 0 &= \langle T_{\alpha}T, V(\phi) \rangle \xrightarrow{\alpha} \langle T, V(\phi) \rangle \\ &= \sum_{i=1}^{\infty} \langle T^{**}(x_i^{**}), y_i^* \rangle \end{aligned}$$

However, it is well known that  $L(X^{**}, Y^{**}) \simeq (X^{**} \hat{\otimes} Y^*)$  via the trace functional. Consequently  $T^{**} \subseteq N^{\perp} \simeq (K(X, Y))^{**}$ . This completes the proof of the theorem. ■

As a corollary to the previous theorem we get:

**THEOREM 2.4.** *Let  $X$  and  $Y$  be reflexive Banach spaces and  $K(Y, Y)$  be an  $M$ -ideal of  $L(Y, Y)$ . Then  $K(X, Y)^{**} \simeq L(X, Y)$ .*

*Proof.* By Theorem 2.3,  $L(X, Y) \subseteq K(X, Y)^{**} \subseteq L(X^{**}, Y^{**})$ . Since  $X^{**} = X$ ,  $Y^{**} = Y$ , the result follows.

**THEOREM 2.5.** *Let  $K(X, Y^*)$  be an  $M$ -ideal of  $K(X, Y^*)^{**}$ . Then  $X$  and  $Y$  are reflexive.*

*Proof.* The Banach spaces  $X^*$  and  $Y^*$  can be embedded isometrically in  $K(X, Y^*)$ . But then the result follows from [4, Corollary 3.7.]

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RESUMEN

En este artículo se estudia la estructura de los espacios de Schwartz nuclearmente compactos y se demuestra que un espacio de Schwartz nuclearmente compacto es nuclearmente compacto si y sólo si es nuclearmente compacto y su dual es nuclearmente compacto. Se estudia también la estructura de los espacios de Schwartz nuclearmente compactos y se demuestra que un espacio de Schwartz nuclearmente compacto es nuclearmente compacto si y sólo si es nuclearmente compacto y su dual es nuclearmente compacto.

39. Introducción

En este artículo se estudia la estructura de los espacios de Schwartz nuclearmente compactos y se demuestra que un espacio de Schwartz nuclearmente compacto es nuclearmente compacto si y sólo si es nuclearmente compacto y su dual es nuclearmente compacto.

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