

TOTAL COMPACTNESS OF A-INDUCTIVE PARTIALLY ORDERED SETS

by

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A poset (i.e., partially ordered set) P is called *A-inductive* iff every nonempty well ordered subset W of P has a supremum (i.e., least upper bound) which need not be an element of W .

In this paper we prove the following Total Compactness Theorem of A-inductive posets:

THEOREM 1. Let P be an A-inductive poset and S be a subset of P such that the supremum of every nonempty finite subset F of S exists in P ($\sup F$ need not be an element of S .) Then the supremum of every nonempty subset of S exists in P .

Clearly, letting $S = P$ in Theorem 1, we immediately obtain the following Compactness Theorem of A-inductive posets:

THEOREM 2. Let P be a nonempty A-inductive poset such that the supremum of every nonempty finite subset of P exists (in P). Then the supremum of P exists of every nonempty subset of P exists (in P).

The proof of Theorem 1 is based on the following Lemmas.

We recall that a subset \mathcal{D} of a poset P is called *directed* iff every finite subset of \mathcal{D} has an upper bound which is an element of \mathcal{D} . Obviously, \mathcal{D} is never empty

LEMMA 1. Let H_κ be an infinite subset of a directed set (\mathcal{D}, \leq) such that

$$\bar{H}_\kappa \leq \bar{\mathcal{D}} \quad (1)$$

Then there exists a subset E_κ of \mathcal{D} such that

$$H_\kappa \subseteq E_\kappa \text{ and } \bar{H}_\kappa = \bar{E}_\kappa \text{ and } (E_\kappa, \leq) \text{ is directed} \quad (2)$$

Proof. If (H_κ, \leq) is a directed set then we choose $E_\kappa = H_\kappa$. Otherwise, let H_0 be an extension (superset) of H_κ which is obtained by supplying at most one upper bound for each finite subset of H_κ that does not have an upper bound in H_κ . Since the set of all finite subsets of an infinite set is obviously of the cardinality of the set, we see that $\bar{H}_\kappa = \bar{H}_0$. Now, if (H_0, \leq) is a directed set then we choose $E_\kappa = H_0$. Otherwise, we let H_1 be an extension of H_0 the way H_0 is an extension of H_κ . Clearly, again $\bar{H}_\kappa = \bar{H}_0 = \bar{H}_1$ and again if (H_1, \leq) is a directed set then we choose $E_\kappa = H_1$. Otherwise we continue the process obtaining successive extensions H_n for every positive integer n . Obviously, $\bar{H}_\kappa = \bar{H}_n$ for every n . Moreover, it can be readily verified that (E_κ, \leq) is a directed set where E_κ is given by

$$E_\kappa = H_\kappa \cup H_0 \cup H_1 \cup H_2 \cup \dots \cup H_n \cup \dots \quad (3)$$

From (3) it follows that E_κ is a countable union of infinite sets each of cardinality \bar{H}_κ . Consequently, $\bar{H}_\kappa = \bar{E}_\kappa$ and since $H_\kappa \subseteq E_\kappa$ by (3), we see that E_κ satisfies (2), as desired. \blacksquare

In connection with Lemma 1, we call E_κ "a directed closure" of H_κ . Thus,

$$E_\kappa \text{ is a directed closure of } H_\kappa \text{ where } \omega \leq \bar{H}_\kappa = \bar{E}_\kappa \quad (4)$$

LEMMA 2. Let \mathcal{D} be a directed subset of an A-inductive poset P . Then \mathcal{D} has a supremum in P (which need not be an element of \mathcal{D}).

Proof. If \mathcal{D} is finite then the upper bound of \mathcal{D} is the supremum of \mathcal{D} . Next, let \mathcal{D} be countably infinite, i.e., $\mathcal{D} = (d_i)_{i < \omega}$. Let $d_{s(0)} = d_0$ and for every $n \in \omega$ let $d_{s(n+1)}$ be the upper bound of $\{d_{s(n)}, d_{n+1}\}$ of the smallest index $s(n+1)$. Clearly, $V = (d_{s(n)})_{n \in \omega}$ is a nonempty well ordered subset and clearly, $\sup V = \sup \mathcal{D}$. Finally, let us assume to the contrary and let

$$\mathcal{D} = (d_i)_{i < \omega_u} \text{ with } \bar{\mathcal{D}} = \omega_u \quad (5)$$

be a directed subset of P of the smallest uncountable cardinality ω_u such that $\sup \mathcal{D}$ does not exist. For every ordinal κ with $\omega \leq \kappa < \omega_u$, we define by induction a directed subset E_κ of $\mathcal{D} = (d_i)_{i < \omega_u}$ as follows:

$$E_\omega \text{ is a directed closure of } H_\omega = \{d_i \mid i < \omega\} \quad (6)$$

$$E_{\kappa+1} \text{ is a directed closure of } E_\kappa \cup \{d_t\} \text{ where } d_t \quad (7)$$

is the element of the smallest index t of $\mathcal{D} - E_\kappa$

$$E_\kappa = \bigcup_{k < \kappa} E_k \text{ if } \kappa < \omega_u \text{ is a limit ordinal.} \quad (8)$$

From (4), (5), (6), (7), (8) it follows that

$$(E_\kappa)_{\omega \leq \kappa < \omega_u} \text{ is a well ordered by } \subseteq \text{ sequence of} \quad (9)$$

directed subsets E_κ of \mathcal{D}

and

$$\bigcup_{\omega < \kappa < \omega_u} E_\kappa = \mathcal{D} \quad (10)$$

Moreover, from (4), (6), (7), (8) for every infinite ordinal $\kappa < \omega_u$ we see that $\bar{E}_\kappa = \bar{\kappa} < \omega_u$. Thus, in view of our assumption, $\sup E_\kappa$ exists (in P) for every infinite ordinal $\kappa < \omega_u$. But then by (9), barring repetitions, we see that $(\sup E_\kappa)_{\omega \leq \kappa < \omega_u}$ is a well ordered subset of the A -inductive poset P . Hence, $h = \sup(\sup E_\kappa)_{\omega \leq \kappa < \omega_u}$ exists (in P). But then from (10) it readily follows that $h = \sup \mathcal{D}$ which contradicts our assumption. Thus, Lemma 2 is proved. \blacktriangle

Finally we give:

Proof of Theorem 1. Let H be a nonempty subset of S . Clearly, H and the sups of all the nonempty finite subsets of H form a directed subset of P . But then the conclusion of Theorem 1 follows from the conclusion of Lemma 2.

REMARK. If the partial order P in Theorem 1 is a partial order with respect to the set-theoretical inclusion \subseteq , where the supremum of a subset S of P is the sumset $\cup S$ of S , then the result of Lemma 2 and hence, Theorem 1 can be proved as suggested in Exercise 3.1.10 of [1, p.121]. However, the relevance of the latter depends on the additional fact that every partially ordered set is isomorphic to a partially ordered set with respect to \subseteq where supremums correspond to unions (we made no use of this fact in our proofs).

REFERENCE

- [1] Chang, C.C. and Keisler, H.J., Model Theory, North Holland Pub. Co., 1977.

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